



# Novità in oncologia e radioterapia

Maria Grazia Baù

FIM 11 aprile 2018

# Gain in survival

50 % 10 yrs survival  
1980

85 % 10 yrs survival  
2015

# Gain in survival and higher pCR rates

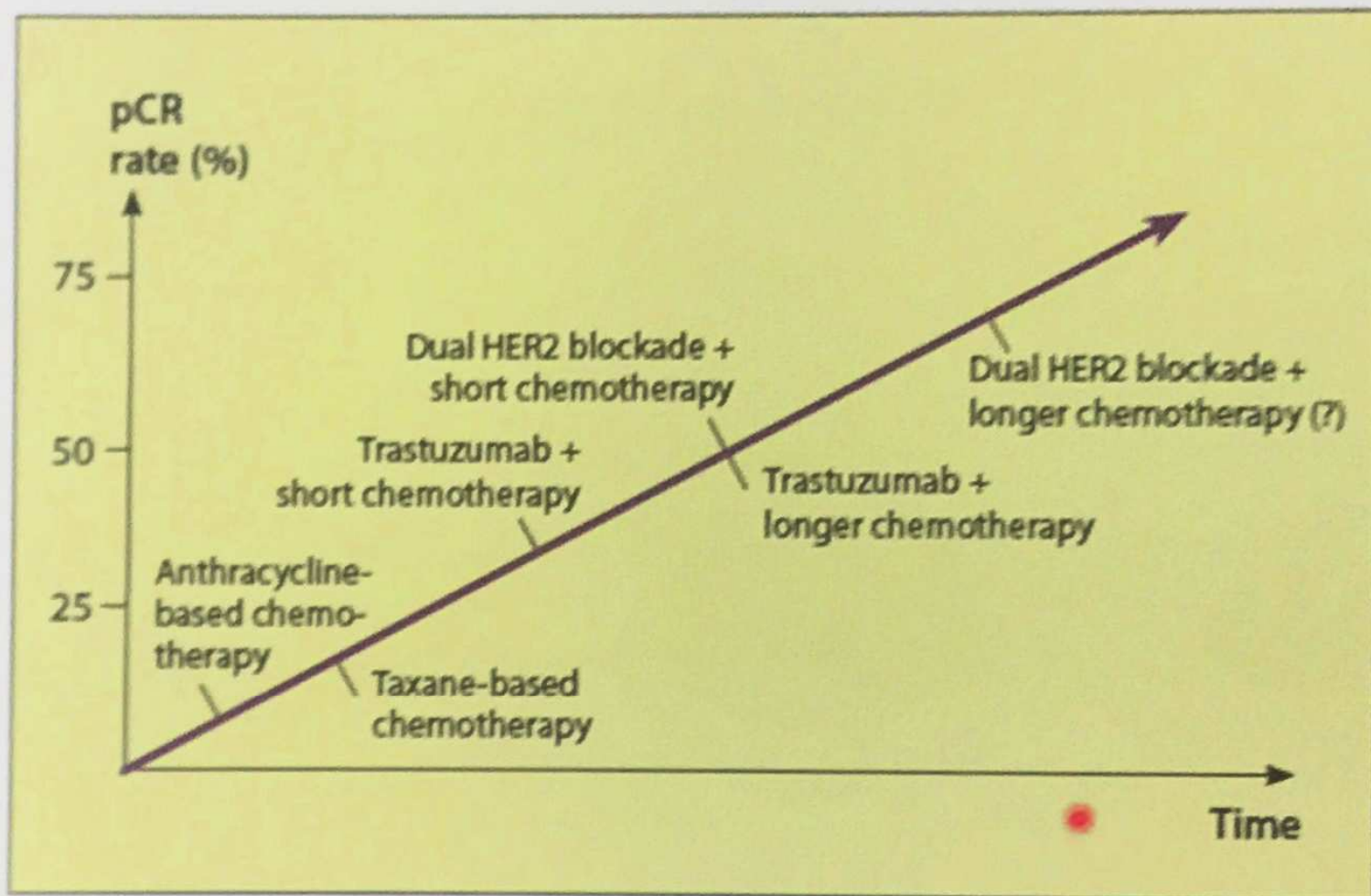
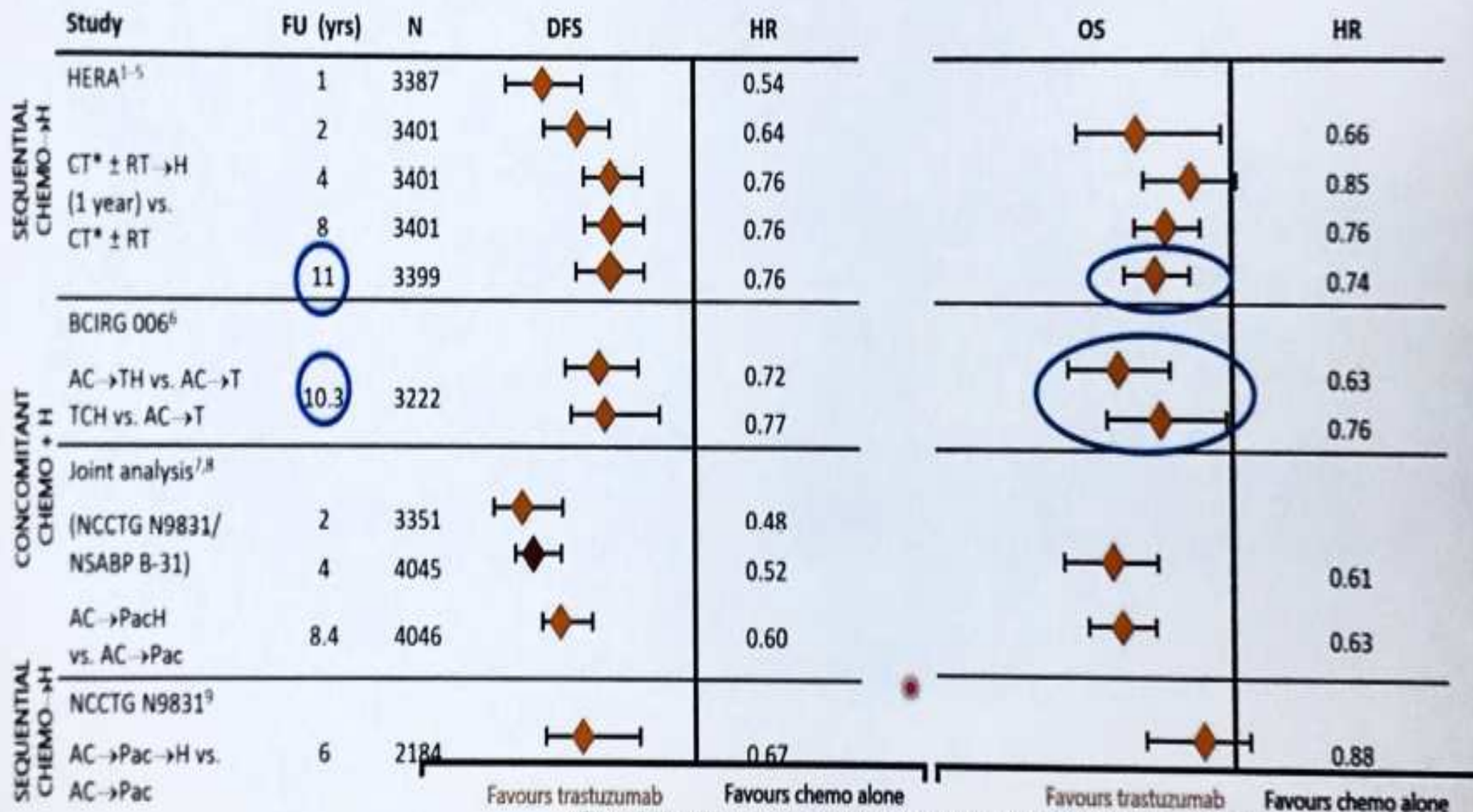


Figure 1: Incremental Improvement in Pathologic Complete Remission (pCR) Rates by Optimizing Systemic Neoadjuvant Treatment of HER2-Positive Breast Cancer.



# These adjuvant trials demonstrated consistent DFS and OS benefit over time with 1 year trastuzumab treatment vs. observation



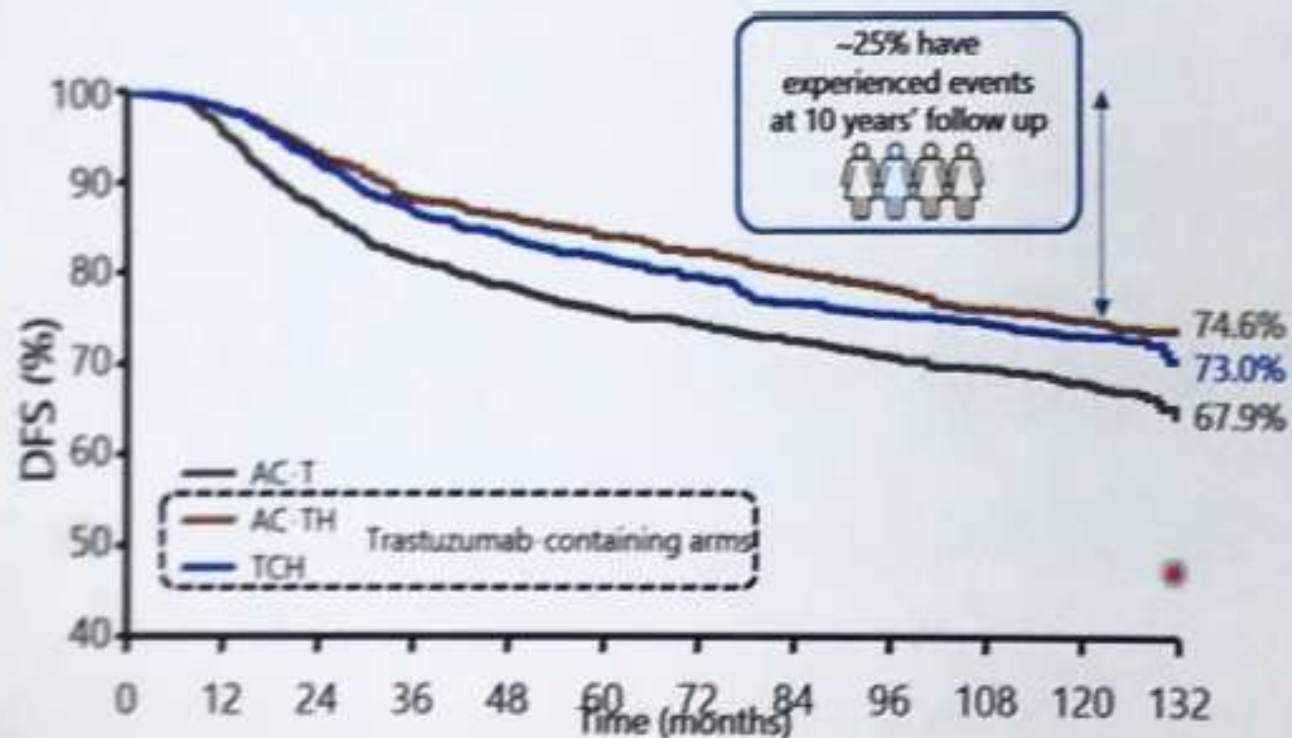
\* Selected from a list of approved regimens consisting of ≥4 cycles.  
 AC, doxorubicin plus cyclophosphamide; CT, chemotherapy;  
 DFS, disease-free survival; FU, follow-up; OS, overall survival;  
 Pac, paclitaxel; RT, radiotherapy; T, docetaxel; TCH, docetaxel, carboplatin.

1. Piccart-Gebhart MJ, et al. *N Engl J Med* 2005; 353:1659-1672; 2. Smith I, et al. *Lancet* 2007; 369:29-36; 3. Gianni L, et al. *Lancet Oncol* 2011; 12:236-244; 4. Goldhirsch A, et al. *Lancet* 2013; 382:1021-1028; 5. Cameron D, et al. *Lancet* 2017; 389:1195-1205;  
 6. Slamon D, et al. SABCs 2015 (Abstract 35-04); 7. Perez EA, et al. *J Clin Oncol* 2011; 29:3368-3373;  
 8. Perez EA, et al. *J Clin Oncol* 2014; 32:3744-3752; 9. Perez EA, et al. *J Clin Oncol* 2011; 29:4491-4497.



## BCIRG 006: Relapse rates in HER2-positive eBC remain high despite the significant impact of trastuzumab-based therapy

DFS final analysis (10.3 years' median follow-up)



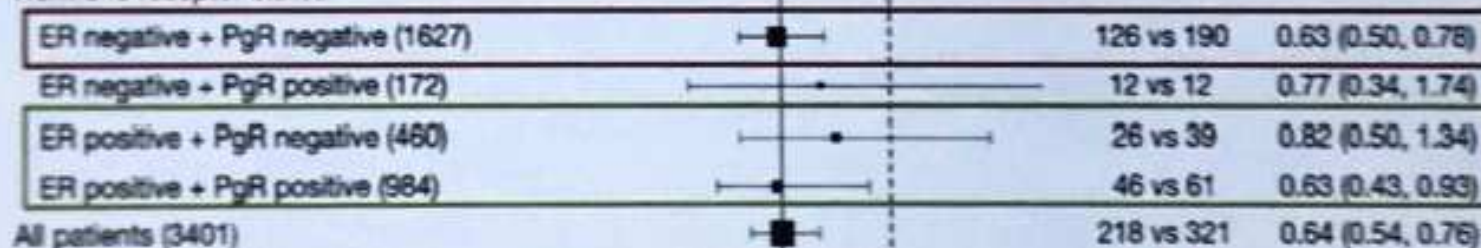
1 in 4 patients will still experience recurrence and death despite 1 year of adjuvant trastuzumab-based therapy

# HERA trial



- Arms: Observation vs. Trastuzumab 1 year vs. Trastuzumab 2 years after adjuvant chemotherapy (total: n=5099)
- Sub-analysis of **3-years DFS** (Trastuzumab 1 year vs. Observation arms) by HR status → n=3401, **48% HR+** (ER and/or PgR ≥10% by local testing)
- Similar benefit from Trastuzumab** in HR- and HR+ patients:

Hormone receptor status



HR: 0.63  
(0.50-0.78)

HR: 0.68  
(0.51-0.89)

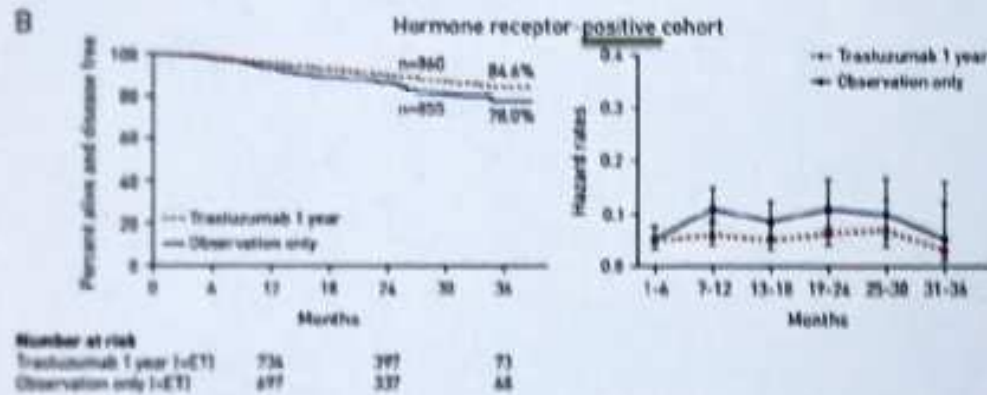
0.0 0.5 1.0 1.5  
Favors Trastuzumab HR Favors observation

Untch et al., Ann Oncol 2008

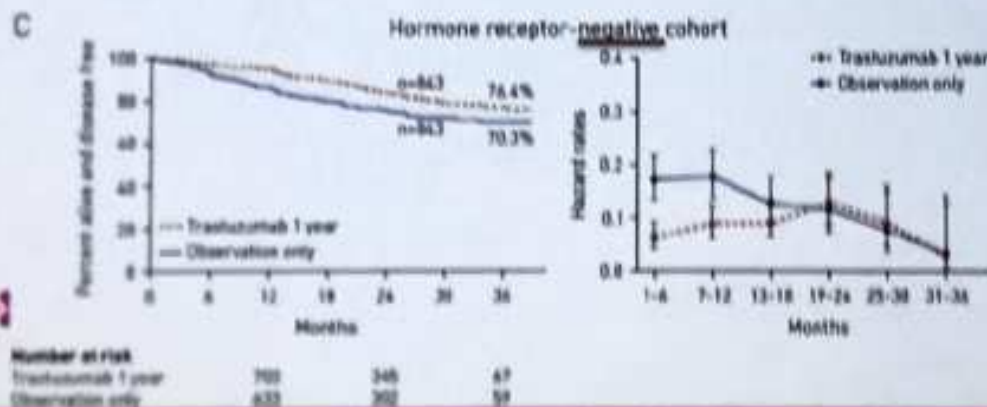


# HERA trial

- Different patterns of relapse → different patterns of trastuzumab effect:



Risk of relapse is consistent over time

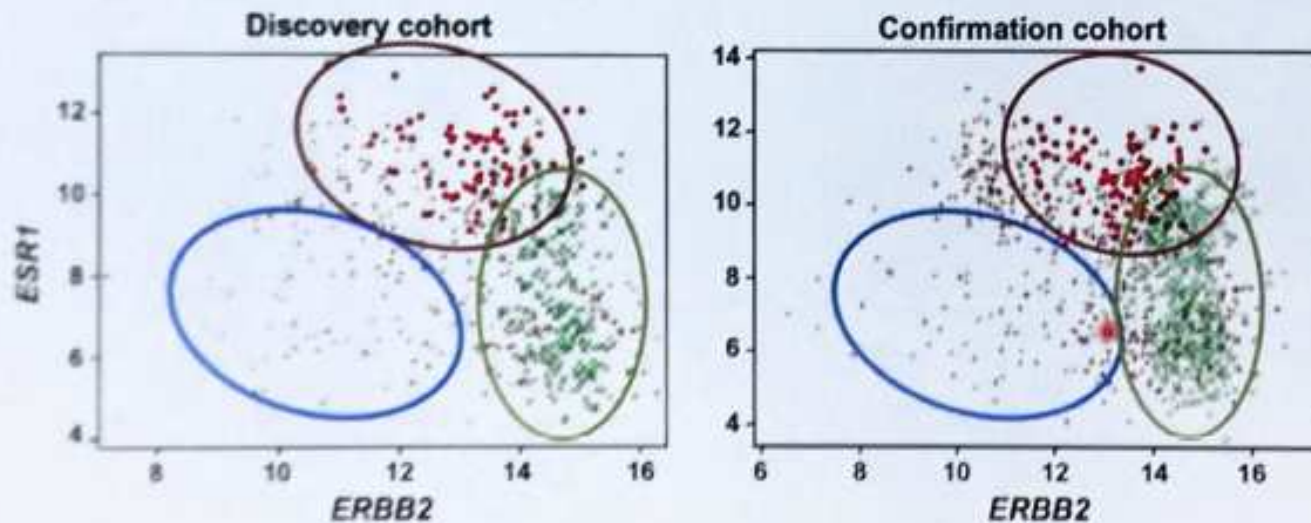


Observation group:  
 • very high risk of early relapse → decreases over time



# NSABP B-31 – GEP model

- **8-gene prediction model:** no linear correlation between *ERBB2* and *ESR1* mRNA levels and each of the groups → Group with **no benefit**: high levels of *ESR1* mRNA, but intermediate overexpressed levels of *ERBB2* mRNA
- Group with **moderate benefit**: also included HER2-negative patients



**Complex relationship** between HER2 and ER status → needs further validation

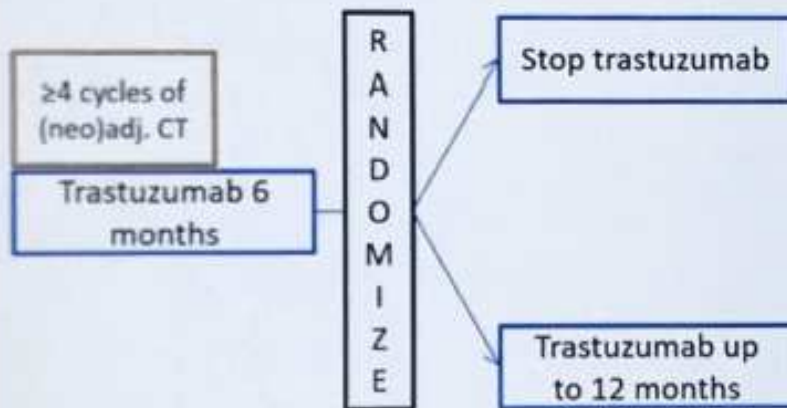
Pogue-Geile et al., JNCI 2013





# PHARE design

Pivot et al., Lancet Oncol 2013

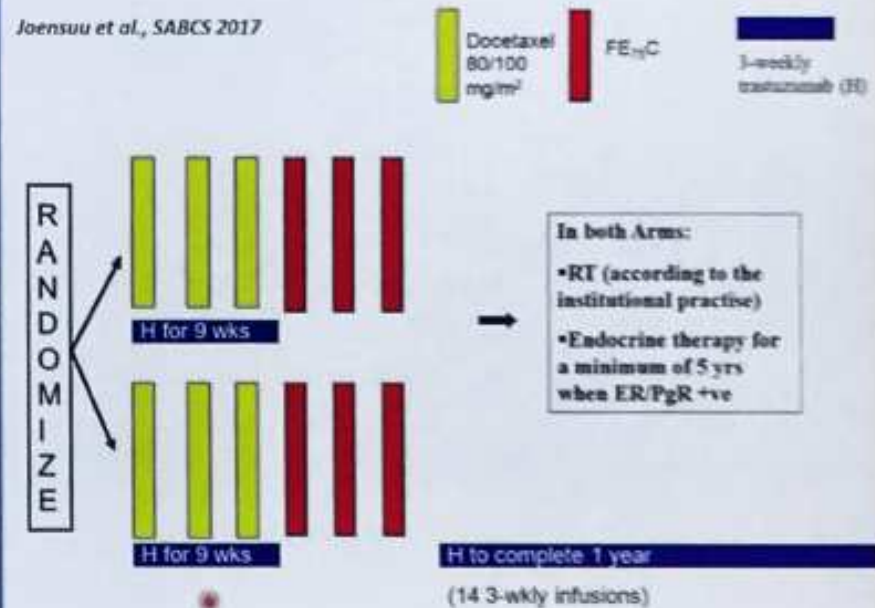


Primary endpoint: DFS

Non-inferiority design: 2% variation in absolute difference in recurrence; 95%CI HR margins should not cross the 1.15 boundary

# SOLD design

Joensuu et al., SABCS 2017



Primary endpoint: DFS

(After revision): Non-inferiority design: absolute 5-yr DFS differences ,4% were considered not clinically significant; non-inferiority margin of 1.3

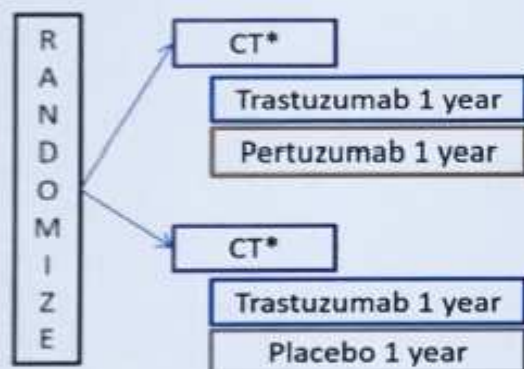
## First and second generation of adjuvant Trastuzumab trials : Lessons learned about subgroups by hormone receptor status

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- HR+ and HR- subgroups show similar degrees of risk reduction with Trastuzumab ; the absolute benefit is slightly larger in HR-
- A subgroup of “high ESR1”/“low-intermediate HER2” tumors might derive no benefit from Trastuzumab !
- HR- have a some what worse prognosis and do relapse much earlier ; the relapse rate is more constant over time in HR+ disease
- Duration of Trastuzumab might matter more for HR- than HR+ tumors, particularly when Trastuzumab is given after chemotherapy rather than concomitantly



## APHINITY design

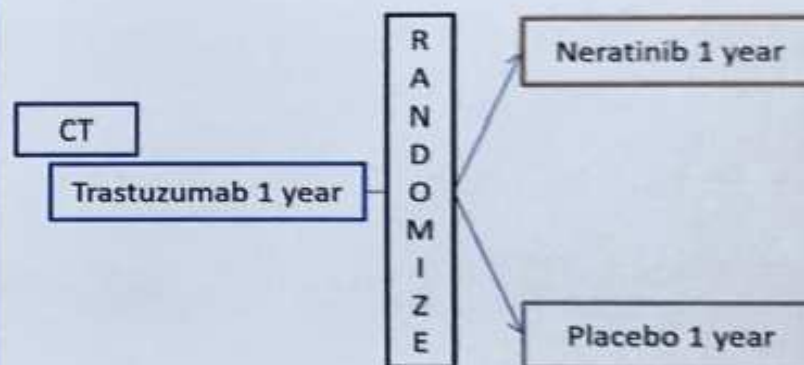


Primary endpoint: **invasive-DFS**  
(\*Anthracycline and non-anthracycline based chemotherapy)



von Minckwitz et al., NEJM 2017

## ExteNET design



Primary endpoint: **invasive-DFS**

Chan et al., Lancet Oncol 2016



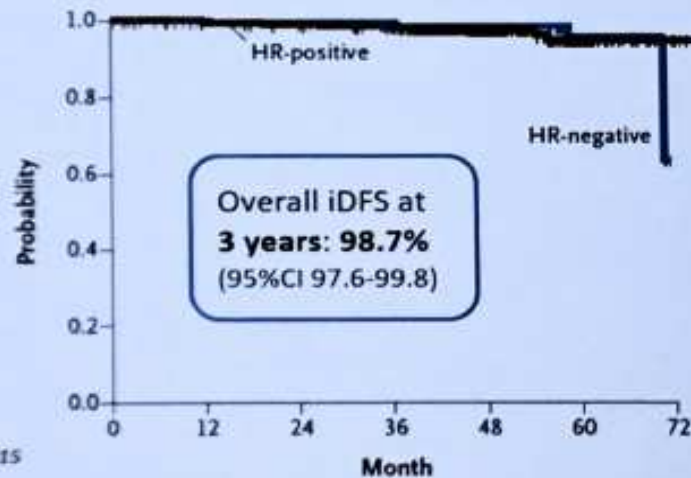
## Third generation of adjuvant Trastuzumab trials : Lessons learned about subgroups by hormone receptor status

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- Dual HER2 blockade administered early seems to have a more profound effect in HR- disease
- Dual HER2 blockade administered later (sequential Trastuzumab → Neratinib) is effective only in HR+ disease

# Small tumors: APT trial

- Paclitaxel 12 weeks + Trastuzumab in **small tumors** ( $\leq 3$  cm),  $N0/N_{mic} \rightarrow 67\%$  HR+ (higher proportion than in the other trials); median FU: 4 years
- No significant differences in **3-years iDFS** according to HR status:



Updated results  $\rightarrow$  iDFS at **7 years**:

- Overall: 93.3% (95%CI 90.4-96.2)
- **Very safe for HR+ disease : 94.6%** (95%CI 91.8-97.5)
- **HR-: 90.7%** (95%CI 84.6-97.2)

Tolaney et al., NEJM 2015

Tolaney et al., ASCO Congress 2017



No. at Risk

HR-positive

HR-negative

272	263	258	249	128	40	5
134	127	127	117	65	27	0

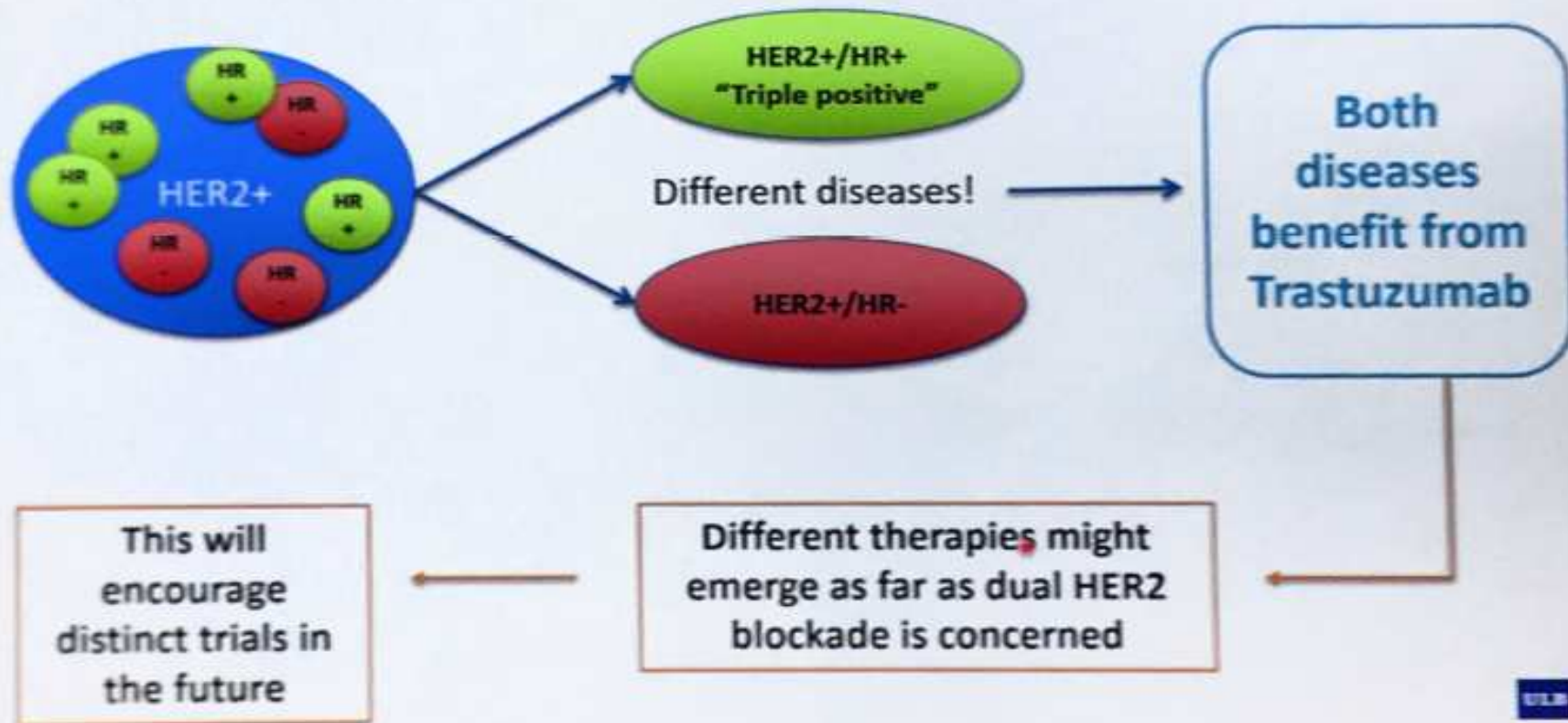




# General comments on the data presented

- Local assessment of HR status, only: **HERA, APT, PHARE, ExteNET**
  - Different cut-points to define positivity (1% vs. 10%)
- vs.
- Central confirmation of HR status: **BCIRG-006, ALTO, APHINITY**
- Most trials: only HR Positive vs. Negative → **no quantification of HR expression !**
- **Gene expression levels measurements (*ESR1* and *ERBB2*)** – no cross-trial validation of the intriguing findings in HERA and NSABP B-31
- **Longer FU needed** for several trials

# Conclusions



# Gain in survival and higher pCR rates

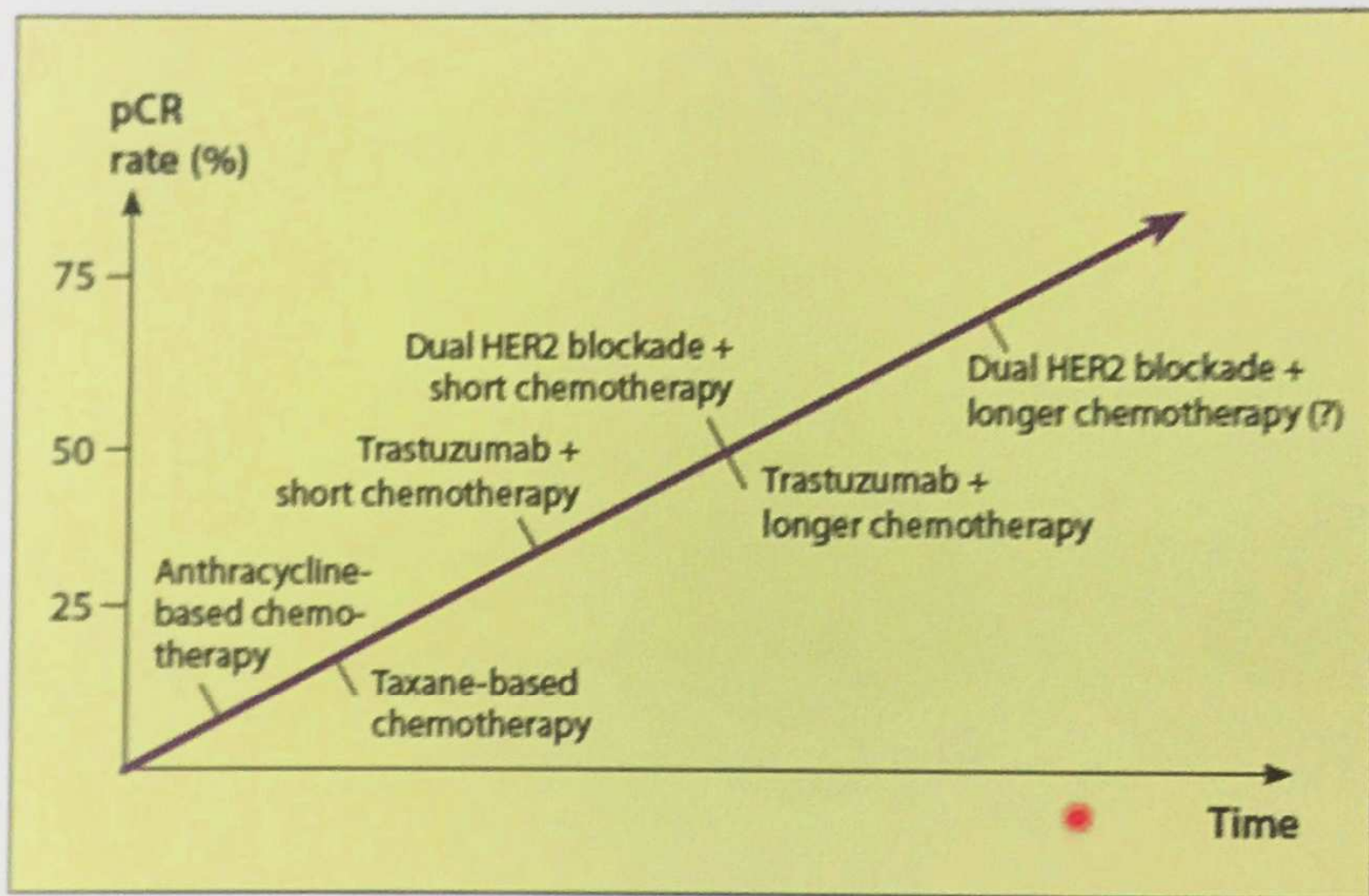
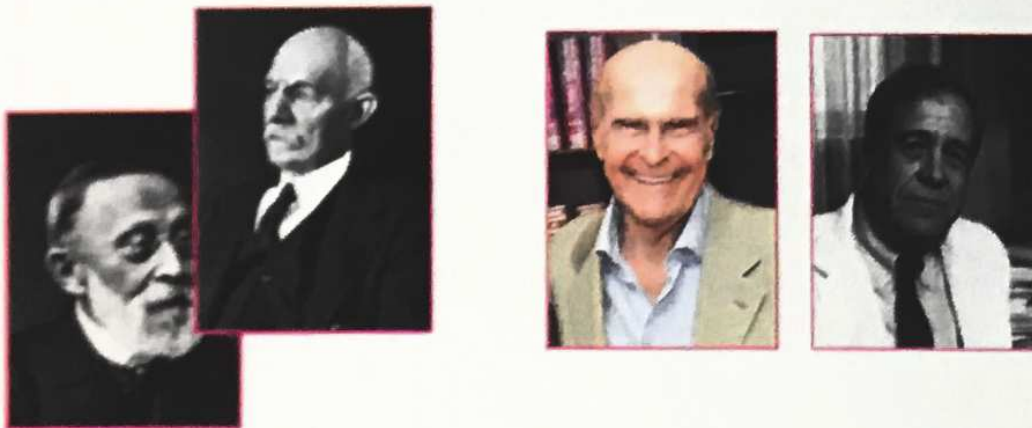


Figure 1: Incremental Improvement in Pathologic Complete Remission (pCR) Rates by Optimizing Systemic Neoadjuvant Treatment of HER2-Positive Breast Cancer.



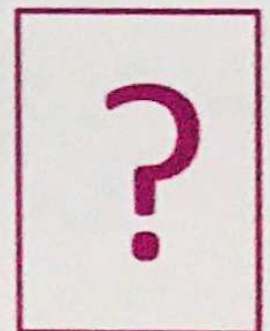
# Gains in local regional treatment

- Radical mastectomy
- Modified radical mastectomy
- Breast conserving surgery



# Gains in local regional treatment

- Axillary Lymph node dissection
- Sentinel node procedure in cN0
- Axillary radiation or observation in pt with positive SN



# Principles of axillary surgery

- Quotes of Monica Morrow

1998

Breast surgery in general, and axillary dissection in particular, have come to be regarded by many as staging procedures that are useful for maintaining local control but do not affect survival.



2017

The overarching goal when selecting an axillary management strategy is to reduce the use of ALND. The optimal approach to achieve this goal will depend on the type of breast surgery being performed, and the hormone receptor and *ERBB2/HER2* status of the tumor; however, ALND should no longer be considered routine management of the node-positive breast cancer patient.

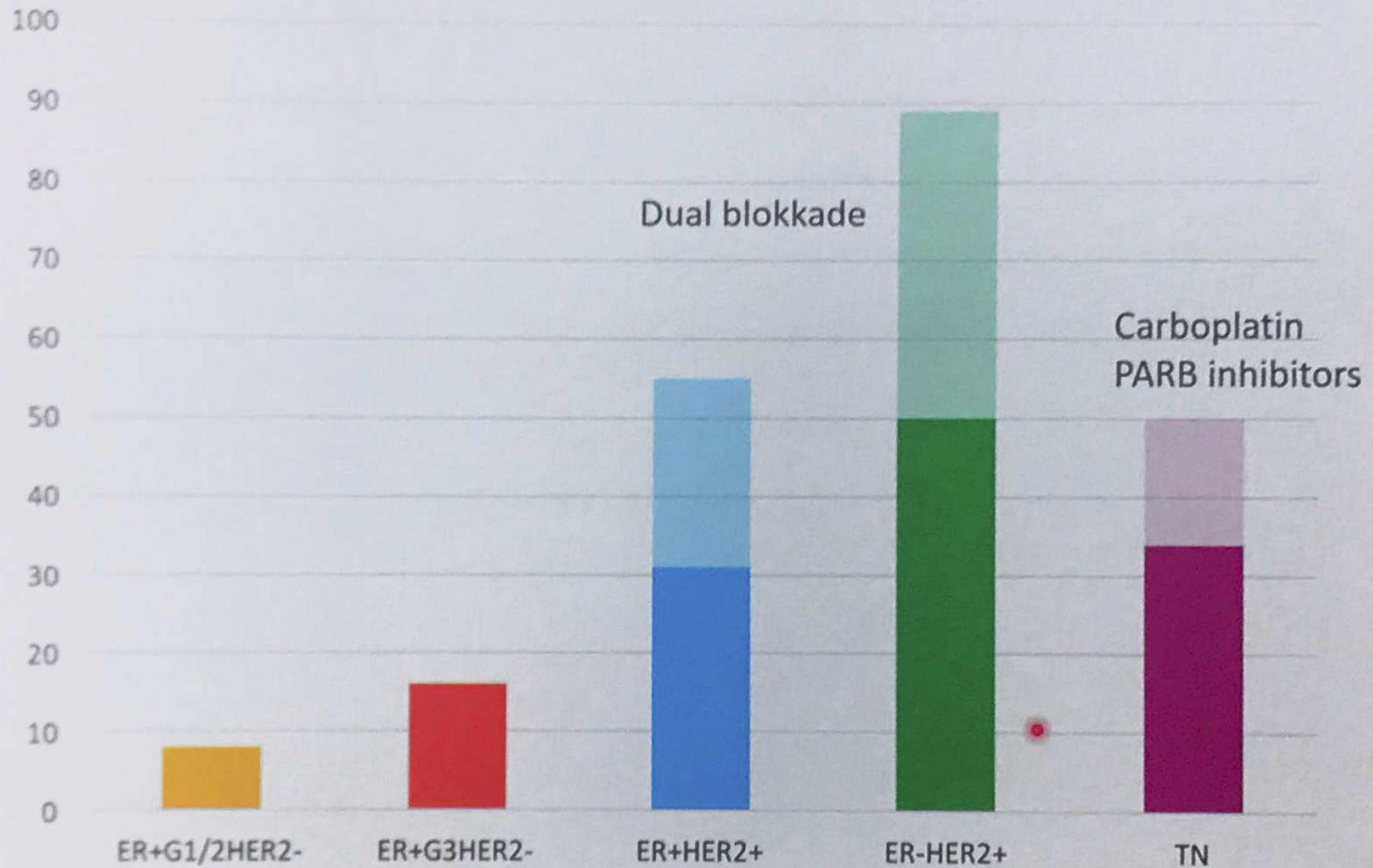


# Clinical Trials: c T1-2N0 with pos SLN

	2011 n=856	AMAROS n=1425	OTOASOR n=474	IBCSG 23-01 n=933	AATRM n=233
Axillary recurrence : other Tx	1.1 %	1.2 %	1.7 %	1 %	1.7 %
Axillary recurrence: ALND	0.5 %	0.4 %	2 %	0.2 %	1.0 %
Additional positive nodes ALND	27.3 %	32.8 %	38.5 %	13 %	13 %
Median Follow Up	9.25 yrs	6.1 yrs	8 yrs	5 yrs	5.1 yrs

No difference in axillary recurrence rates between ALND and 'other' treatment (observation of axillary RT)

# Current pCR rates after NST





# Current important trials c N1 patients

NSABP B-51/RTOG 1304

**Negative SN after NST**

Randomization after stratification

No regional nodal xRT

Regional xRT

ALLIANCE A11202

**Positive nodes \* after NST**

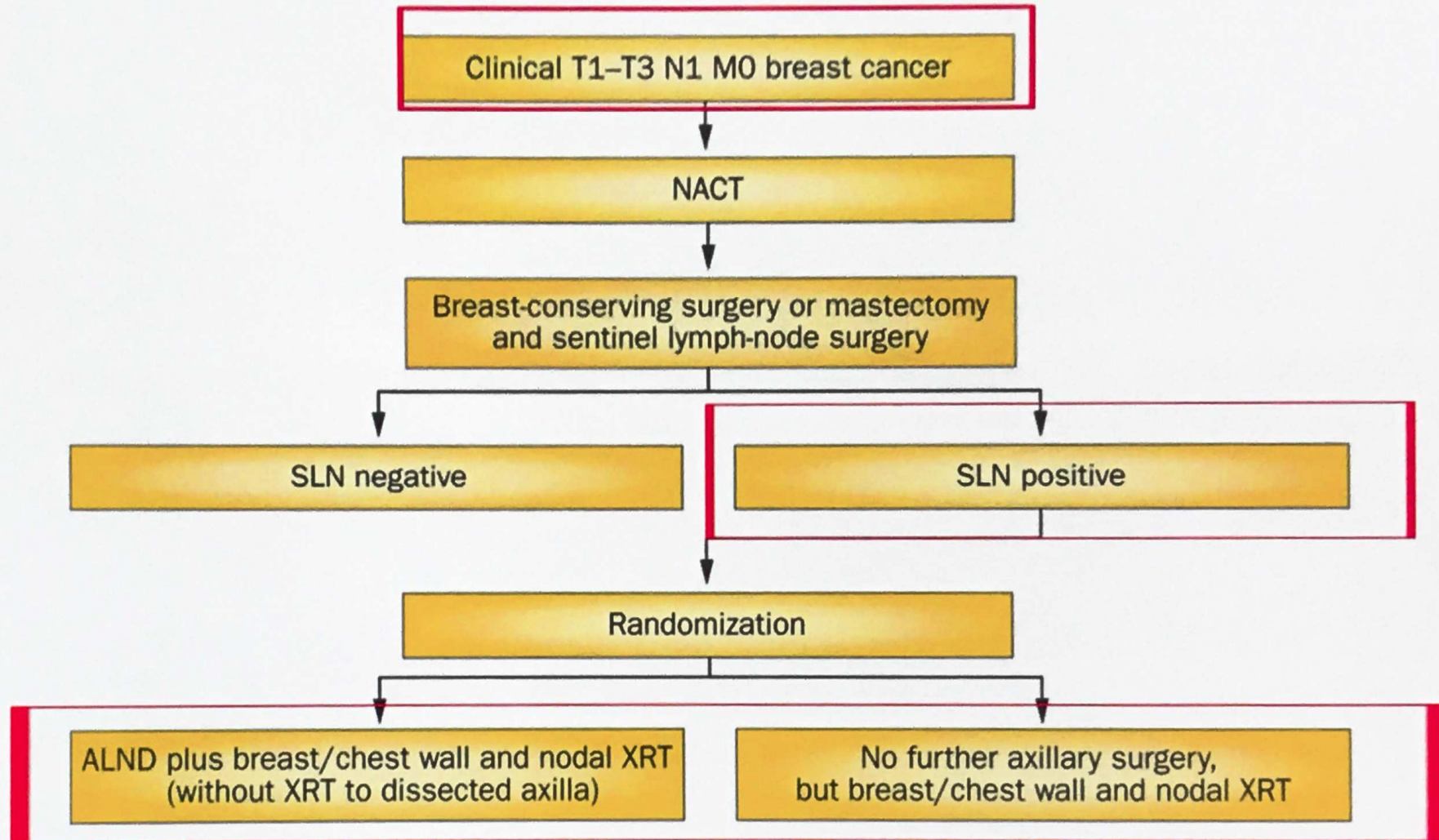
\*SLNB or SLNB plus ALND

Local regional xRT (no ALND)

ALND plus xRT breast /  
chestwall / nodes (no axillary)

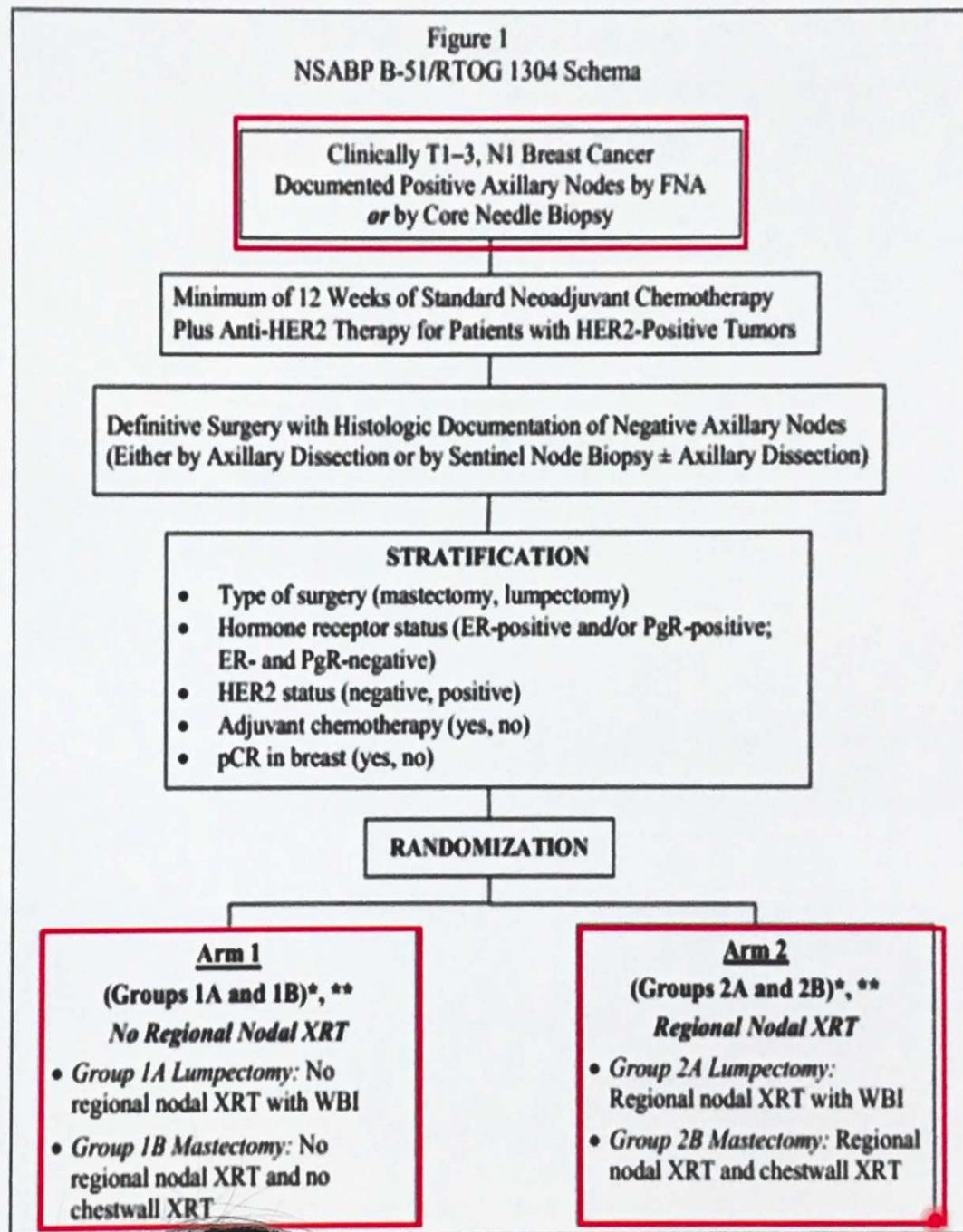


# ALLIANCE A11202



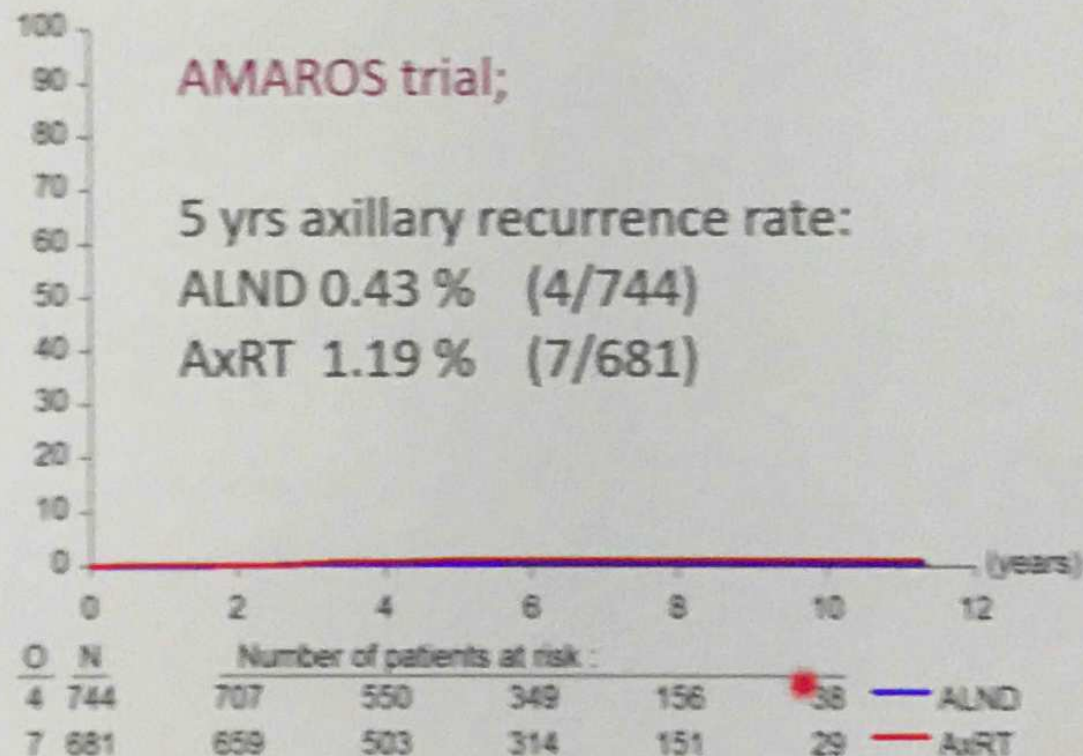
# NSABP B-51

Figure 1  
NSABP B-51/RTOG 1304 Schema



# Current important trials c N1 patients

- However, trials might be underpowered







# Current important trials c N1 patients

- However, trials might be underpowered
- We will have to wait another ten years for results
- No N2-3 patients included in these trials

# Current NCI strategy

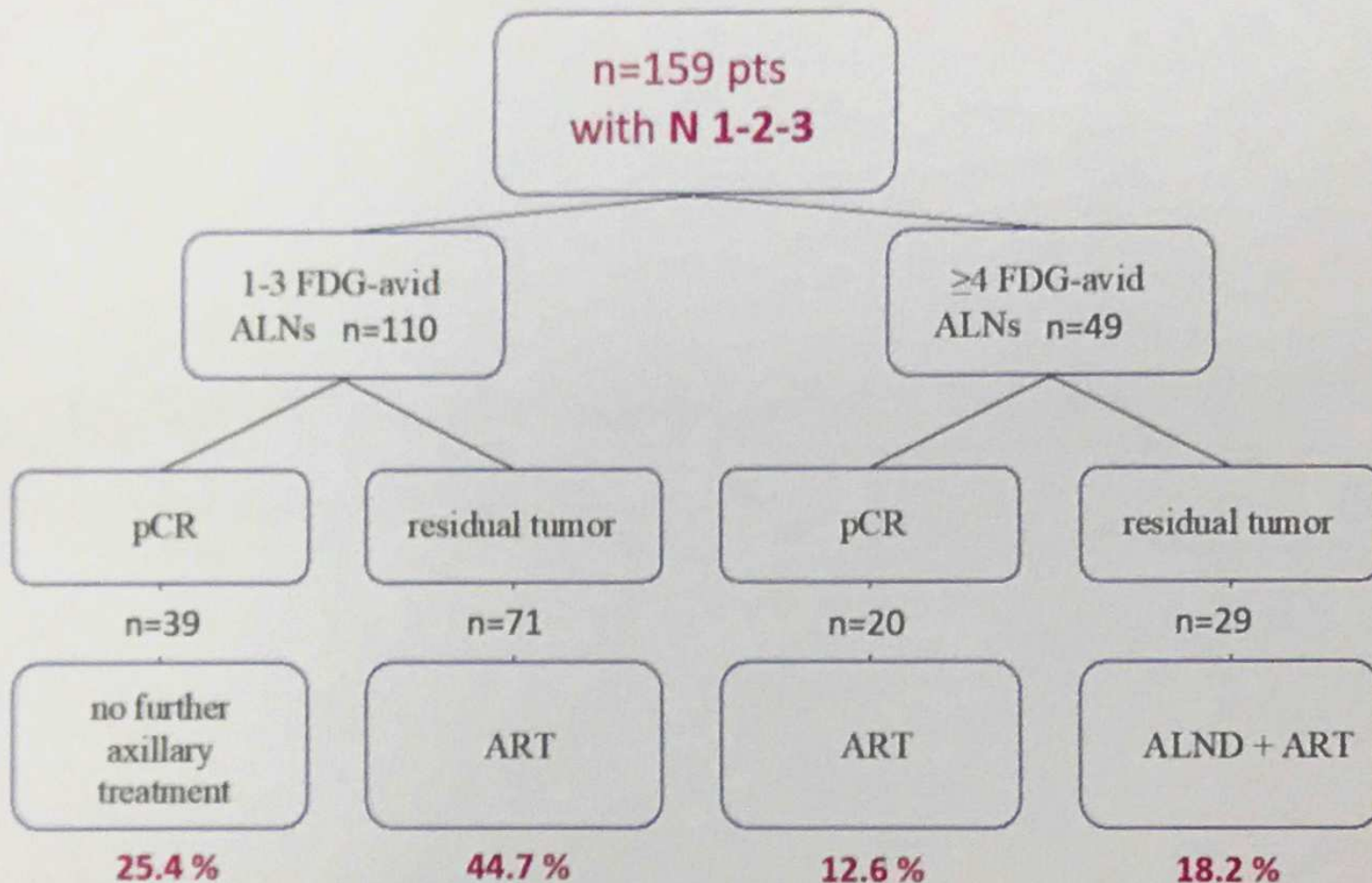
PET-CT before NST



Response MARI



Axillary Treatment





# Tailored axillary treatment after NST

- Low FNR
- Minimal residual disease
- Axillary RT as a safe alternative for ALND



## Are false-negative rates important?

- False-negative rates: 13-14% after „traditional SNB“
- „Random sampling“ not recommended
- Maybe not so much in primary surgery:
  - NSABP-B32: FNR 9.8% - only 0.7% recurrence rate
  - Z0011: 27% pos. nodes were left behind – 0.9% recurrence rate
- Systemic therapy and radiation are there to help us out!



## Are false-negative rates important?

- Maybe very much after neoadjuvant surgery!
  - resistance of tumor cells against systemic therapy might warrant more aggressive local treatment
  - Information about residual tumor (in the lymph nodes) might be important for clinical trials in the „post-neoadjuvant“ setting
  - Capecitabine post-neoadjuvant: Create-X

Morrow M et al., JAMA 2013  
Krag DN et al., Lancet Oncol 2010  
Giuliano AE et al., JAMA 2011  
Masuda N et al., NEJM 2017



# Opposing trends in axillary treatment



MA20  
EORTC22922

AMAROS

IBCSG 23-01  
ACOSOG Z0011



Does post-mastectomy radiotherapy affect the outcome and prevalence of complications in immediate DIEP breast reconstruction? A prospective cohort study<sup>\*</sup>

R. Taghizadeh<sup>1,2</sup>, M. Moustaki<sup>1</sup>, S. Harris<sup>1</sup>, P. Roblin<sup>1</sup>, J. Farhad<sup>1</sup>

<sup>1</sup>Department of Plastic and Reconstructive Surgery, Guy's and St Thomas' NHS Foundation Trust, London, UK

<sup>2</sup>Department of Clinical Oncology, Guy's and St Thomas' NHS Foundation Trust, London, UK

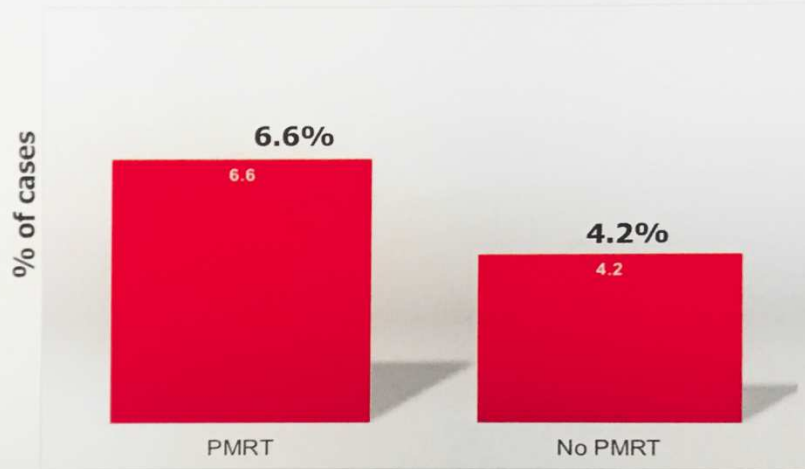
Received 11 February 2011; accepted 4 June 2011

## ***Standardised radiotherapy protocol***

- 40 Gray in 15 fractions
- Start RT 4/52 Post operatively or 4/52 following adjuvant chemotherapy

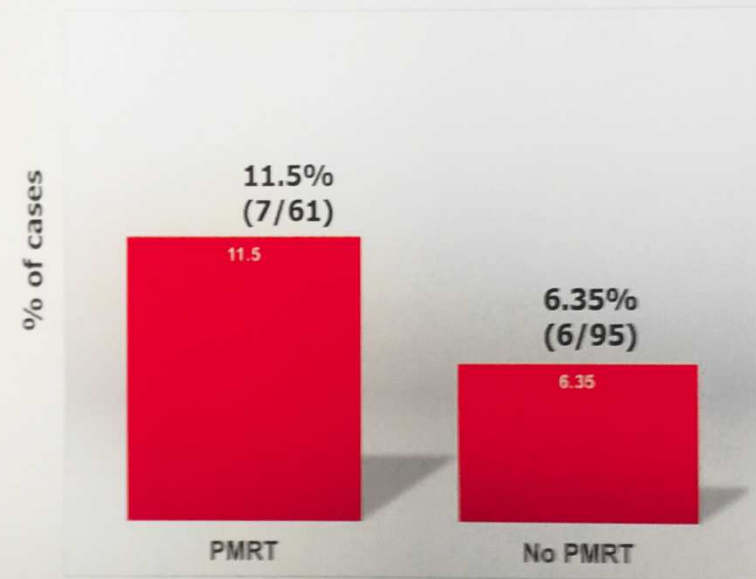


## Surgical excision of fat necrosis



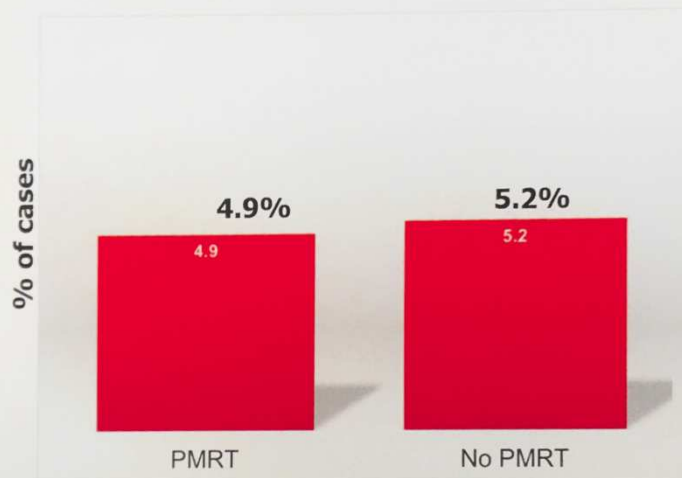
- Surgery for fat necrosis removal: PMRT 6.6%, NO PMRT 4.2%
- **p=0.383** Fisher's exact Chi square test ,  $p > 0.05$

## Fat Necrosis



- Fat necrosis: PMRT 11.5%, NO PMRT 6.35%
- **p=0.199**, Fisher's exact Chi Square test

## Volume enhancement



- Volume enhancement: PMRT 4.9%, NO PMRT 5.2%
- **p=0.617** Fisher's exact Chi square test ,  $P > 0.05$



Maalouf C et al

Impact of autologous breast reconstruction using DIEP flap on the oncologic efficacy of radiation therapy.

Ann Chir Plast Esthet. 2017

Berbers J et al.

"Reconstruction: before or after postmastectomy radiotherapy?" A systematic review of the literature.

Eur J Cancer. 2014

Schaverien MV et al.

Is immediate autologous breast reconstruction with postoperative radiotherapy good practice?: a systematic review of the literature

JPRAS 2013

# Implant **BEFORE** RT: high complications

"Reconstruction: Before or after postmastectomy radiotherapy?" A systematic review of the literature

Judith Berbers<sup>1</sup>, Anglia van Baantwijk<sup>2</sup>, Roud Houben<sup>3</sup>, Esther Heuts<sup>4</sup>,  
Marylein Smid<sup>5</sup>, Kuziem Keymeulen<sup>6</sup>, Maad Bosmans<sup>7</sup>, Stefania Tuinder<sup>8</sup>,  
Lambert J. Boverus<sup>9</sup>

<sup>1</sup>Maximilian University Medical Center, Faculty of Health, Medicine and Life Sciences, Muenchen, The Netherlands  
<sup>2</sup>Maximilian University Medical Center, Dept. Radiation Oncology, 80335 Muenchen, Germany  
<sup>3</sup>Maximilian University Medical Center, Dept. General Oncology, Muenchen, The Netherlands  
<sup>4</sup>Maximilian University Medical Center, Dept. Reconstructive Surgery, Muenchen, The Netherlands

Received: 27 March 2014; accepted: 10 June 2014; published: 05 July 2014  
Available online: 05 August 2014

Published in final edited form as:  
*Plast Reconstr Surg*. 2012 October ; 130(4): 513e–523e. doi:10.1097/PRS.0b013e318262f059.

**Current Status of Implant-based Breast Reconstruction in Patients Receiving Postmastectomy Radiation Therapy**

Steven J. Kronowitz, MD, FACS  
Department of Plastic and Reconstructive Surgery, The University of Texas MD Anderson Cancer Center, Houston, Texas

*Breast Cancer Res Treat* (2011) 127:15–22  
DOI 10.1007/s12549-011-1401-x

REVIEW

**Radiotherapy and breast reconstruction: a meta-analysis**

M Barry · M. R. Kell

**SABCS 2016: Radiation Therapy May Increase Complications in Breast Cancer Patients Receiving Implants**

By The ASCO Post  
Posted: 12/9/2016 10:22:15 AM  
Last Updated: 12/9/2016 10:22:15 AM  
[View this paper](#)

European Review for Medical and Pharmacological Sciences

2015; 19: 2202-2207

**Does postoperative radiation therapy represent a contraindication to expander-implant based immediate breast reconstruction? An update 2012-2014**

D. RIBUFFO<sup>1</sup>, A. MONFRECOLA, M. GUERRA<sup>2</sup>, G.M. DI BENEDETTO<sup>3</sup>,  
L. GRASSETTI<sup>4</sup>, E. SPAZIANI<sup>4</sup>, T. VITAGLIANO<sup>5</sup>, M. GRECO<sup>6</sup>

*Journal of Surgical Oncology* 2015;112:458-464

**Breast Reconstruction and Adjuvant Therapy: A Systematic Review of Surgical Outcomes**

BASSIM EL-SABAWI, MD,<sup>1</sup> MICHAEL SOSIN, MD,<sup>2</sup> JOSEPH N. CAREY, MD,<sup>3</sup> MALIBICE Y. NAHABEDIAN, MD,<sup>3</sup>  
and KETAN M. PATEL, MD<sup>4</sup>

<sup>1</sup>Division of Plastic and Reconstructive Surgery, Keck School of Medicine of the University of Southern California, Los Angeles, California  
<sup>2</sup>Department of Surgery, MedStar Georgetown University Hospital, Washington, District of Columbia  
<sup>3</sup>Department of Plastic Surgery, Georgetown University, Washington, District of Columbia

**The Effects of Postmastectomy Adjuvant Radiotherapy on Immediate Two-Stage Prosthetic Breast Reconstruction: A Systematic Review**

Thomas C. Linn,  
F.R.C.S. (Ed.), F.R.A.C.S.,  
Frank Hecht, M.A., M.B.,  
B.Chir.  
John Voyages,  
FRANZ.C.R., Ph.D.  
Western and Spinal,  
New South Wales, Australia

**Background:** The authors performed a systematic review of the literature on the outcome of therapy for patients with breast cancer who underwent adjuvant radiotherapy after an immediate two-stage prosthetic breast reconstruction, either following tissue expansion (stage 1) or after removal of the tissue expander and insertion of a final breast implant (stage 2). Their outcomes were compared to those of patients who had breast reconstruction without postoperative radiotherapy.  
**Methods:** Electronic database searches were performed by a full-time reviewer

Multiple systematic reviews



# Implants and RT

## Adverse outcomes

### Immediate:

- wound breakdown
- implant extrusion



### Delayed:

- Malposition, rippling
- capsule formation, pain
- poor cosmesis



Reconstruction 'cripples'

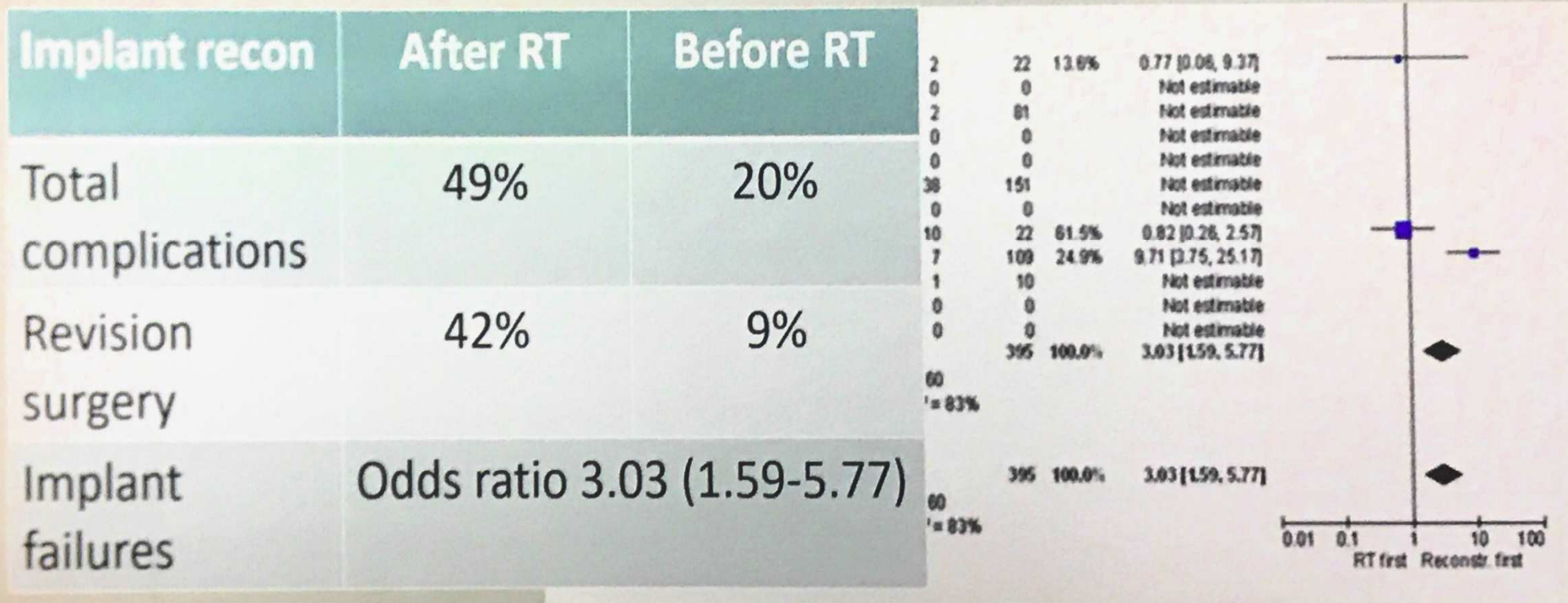


## Implants **BEFORE** RT: high complications

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- 16% implant extrusion<sup>1</sup>
- **20-30% implant loss at 5 years<sup>1,2,4,6</sup>**
- 8-53% severe capsular contracture<sup>4,6</sup>
- 40-50% unplanned corrective surgery at 5 years <sup>1,2,3</sup>
- 8-10% autologous conversion<sup>1</sup>
- **Decreased patient satisfaction (breast Q) : 40% at 2 years<sup>4,5</sup>**

# Implant **AFTER** RT even higher complications





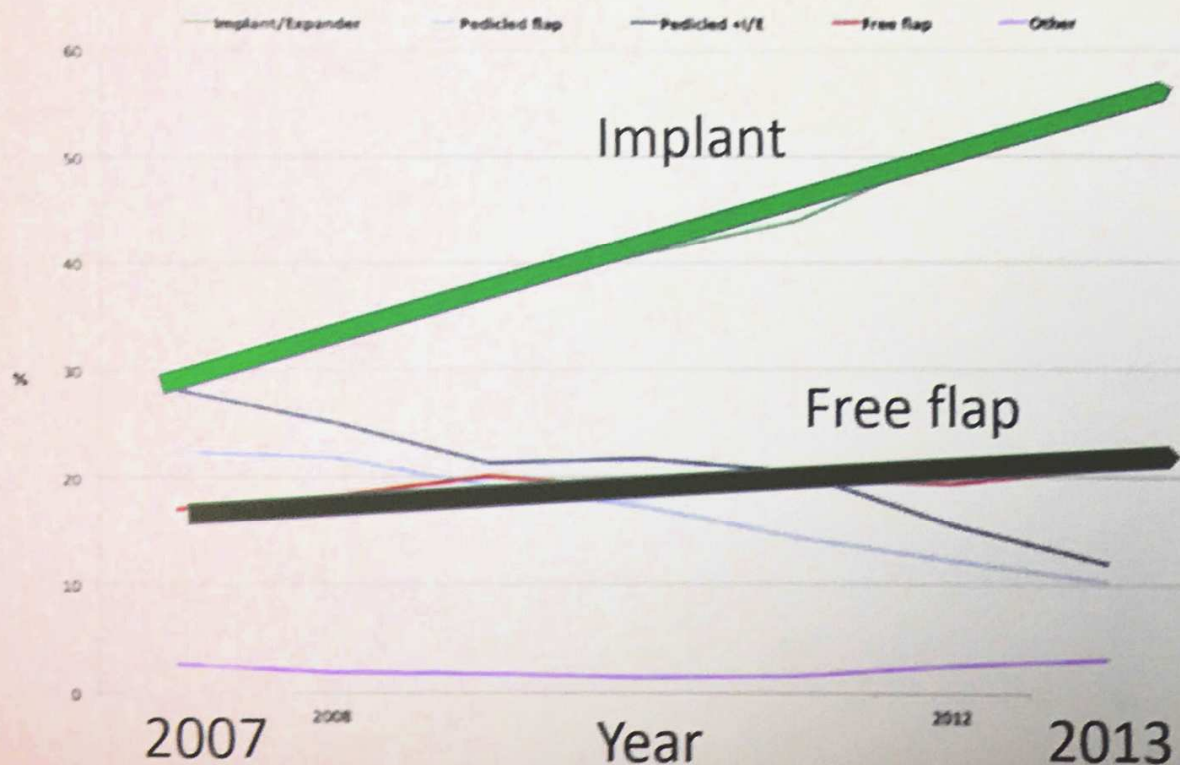
## Regardless of reconstruction timing.....

Implant reconstruction has poorer outcomes when compared to autologous reconstruction. (immediate or delayed) *Jagsi et al JNCI 2018*

	PMRT N=622 IBR 83%		No RT N=1625 IBR 95%	
Type or recon	Complications 2yrs	PROM	Complications 2yrs	PROM
Autologous	26%	64%	22%	68%
Implant	<b>39%</b>	<b>48%</b>	28%	61%
	P = 0.007	P = 0.002	NS	NS



# Implant Reconstruction is increasing – 30 to 54%



2007-2013:England  
Immediate implant BR  
increased  
**from 30% to 54%**

(Mennie et al EJSO 2017)

We seem to be finding more reasons for recommending PMRT.....

Previews December 2014

LANCET (LONDON, ENGLAND)

ELSEVIER  
FREE FULL-TEXT ARTICLE

[Lancet](#). 2014 Jun 20; 383(9635): 2107-2115.

PMCID: PMC4157158

doi: [10.1016/S0140-6736\(14\)62486-2](#)

**Effect of radiotherapy after mastectomy and axillary surgery on 10-year recurrence and 20-year breast cancer mortality: meta-analysis of individual patient data for 8135 women in 22 randomised trials**

[EBCTCG \(Early Breast Cancer Trialists' Collaborative Group\)](#)<sup>1</sup>

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# A personal agenda first....

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- We do too many 'unnecessary' mastectomies
  - Mastectomy drives reconstruction
  - Need for reconstructive symmetry drives bilateral mastectomy and implant recon
- Avoid mastectomy where possible
  - Biology + multimodality therapies determine LRR, OS
  - Use primary systemic therapies to support risk adapted BCS
  - Use oncoplastic conservation to support more BCS



## The Impact of Postmastectomy Radiotherapy on Two-Stage Implant Breast Reconstruction: An Analysis of Long-Term Surgical Outcomes, Aesthetic Results, and Satisfaction over 13 Years

Cordeiro, Peter G. M.D.; Albornoz, Claudia R. M.D., M.Sc.; McCormick, Beryl M.D.; Hu, Qunying M.D.; Van Zee, Kimberly M.D.

92% :good aesthetic result  
94%: would choose implants again

Not so bad in the right hands.....

	RT N= 319 (15%)	No RT N=1789
EARLY Implant removal	9%	0.5%
Grade 4 capsule	7%	0.5%
Predicted implant replacement	13%	9%
Converted to autologous	2%	0%

PRS 2014; 134 (4) : 588-595

## Acellular Dermal Matrix-Assisted Direct-to-Implant Breast Reconstruction and Capsular Contracture: A 13-Year Experience

Salzberg, C. Andrew M.D.; Ashikari, Andrew Y. M.D.; Berry, Colleen A.R.N.P., F.N.P.-B.C.; Hunsicker, Lisa M. M.D.

- 1584 reconstructions; N=106 RT (7%)
- mean follow up 4.7 years
- **Capsule contracture 4.7% vs 0.7%, (P= 0.003)**
- capsular contracture stabilized at 2 years



# Can we decrease radiation treatment after excellent response to preoperative systemic therapy?

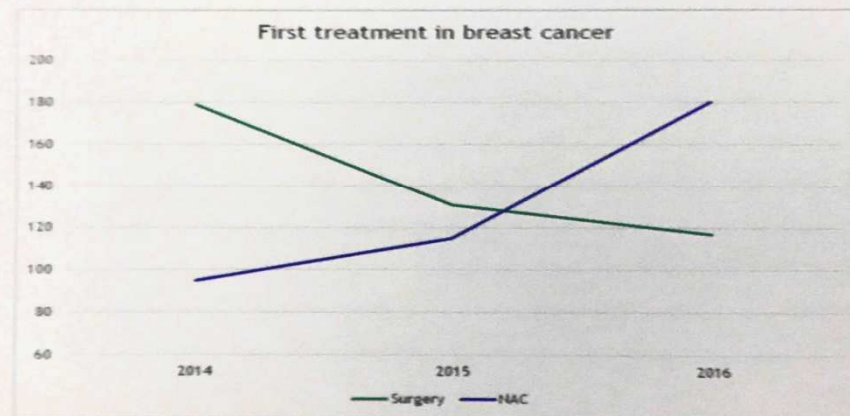
Meritxell Mollà, MD, PhD

Radiation Oncology Department. Hospital Clínic Barcelona

# Background

- Neoadjuvant chemotherapy (NAC) is increasingly used in breast cancer, particularly those with more advanced node positive disease
- The use of radiation therapy following mastectomy and/ or regional nodal irradiation following breast conserving therapy after neoadjuvant chemotherapy is highly variable

## Use of NAC in Hospital Clinic Barcelona 2014-2016





# Current controversies in local therapy following NAC

1. What is the adequate **management of the axilla** following NAC in node- positive breast cancer at initial diagnosis?
2. Do all patients with node-positive disease at presentation **need RT** after NAC?
3. Can **biological subtypes** help to decide local therapy options?

# 1- What is the adequate management of the axilla following NAC in node- positive breast cancer at initial diagnosis?

- False negative rate of sentinel node sampling following NAC in patients with initially node + disease
- Can axillary radiation replace ALND? Is **AMAROS** trial applicable?

pT1-T2N1(sn)

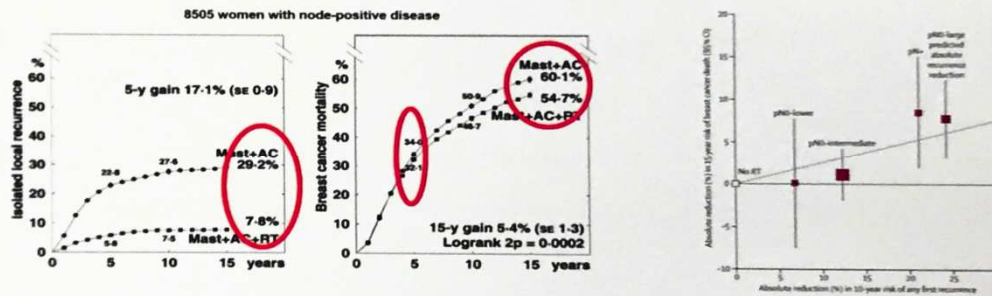
Median f/u: 6.1 y  
Median age: 55 y/o  
Median T size: 17 mm  
ER +: 83% G3: 28%  
Median SN removed: 2  
Micrometastases: 40%  
Systemic therapy: 91%

	cALND N=744	AxRT N=681
5-y Axillary recurrence	0.54% (n=4)	1.03% (n=7)
5 y DFS	87%	83%
5 y OS	94%	94%
5 y Clinical Lymphedema	23%	11%



## 2- Do all patients with node-positive disease at presentation **need RT** after NAC?

- In adjuvant setting, EBCTCG trial showed that RT in high risk factors decreased LRR and improved OS



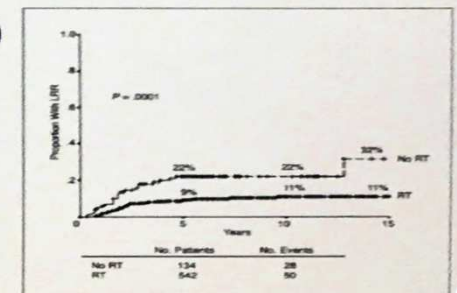
- In neoadjuvant setting, clinical decision making is unclear, because there are no data from randomized trials.

Lancet 2011; 378: 1707-16

## Lessons from retrospective series:

### MDACC. Hung et al JCO 2004

- 6 prospective NAC. 100% doxorubicine based. 15% taxans
- Mastectomy n=536
  - RT 542 EII: 30%. EIII: 70%
  - No RT 134
- RT significantly reduced the risk of LRR at 10 years in: locally advanced disease at presentation and/ or pathological T>2 cm (14% vs. 31%) and N≥4 (16% vs. 59%)





# pCR retrospective series

	Le Scodan 2012	Shim 2014	Mc Gaire 2007
N	134	151	106
E I-II	63%	60%	34%
III	37%	40%	66%
NAC	90% Antracycline 10% taxans	56% Antracycline 6% taxans	92% Antracycline 38% taxans
FU (m)	91	59	62
LRR (RT/ non RT)	3.8% / 13.2%	1.9% / 6.5%	5% / 10% EI-II: 0 EIII: 7% / 33%*
OS (RT/ non RT)	77.2% / 87.7%	93.3% / 89.9%	EIII: 77% / 33%*

\* p<0.05

These data suggest:

- Patients with **Stage II** → No evidence that omission of radiation had a significant impact on LRR or OS
- Patients with **Stage III** → Higher LRR rates and decrease with RT

## Lessons from NSABP B18 / B27

**B18:** 1523 patients. T1-3 N0-1M0

ACx4 NAC vs. Adj (1:1 RND) >50y/o → TAM

No PMRT or regional nodal RT

pCR: 13%

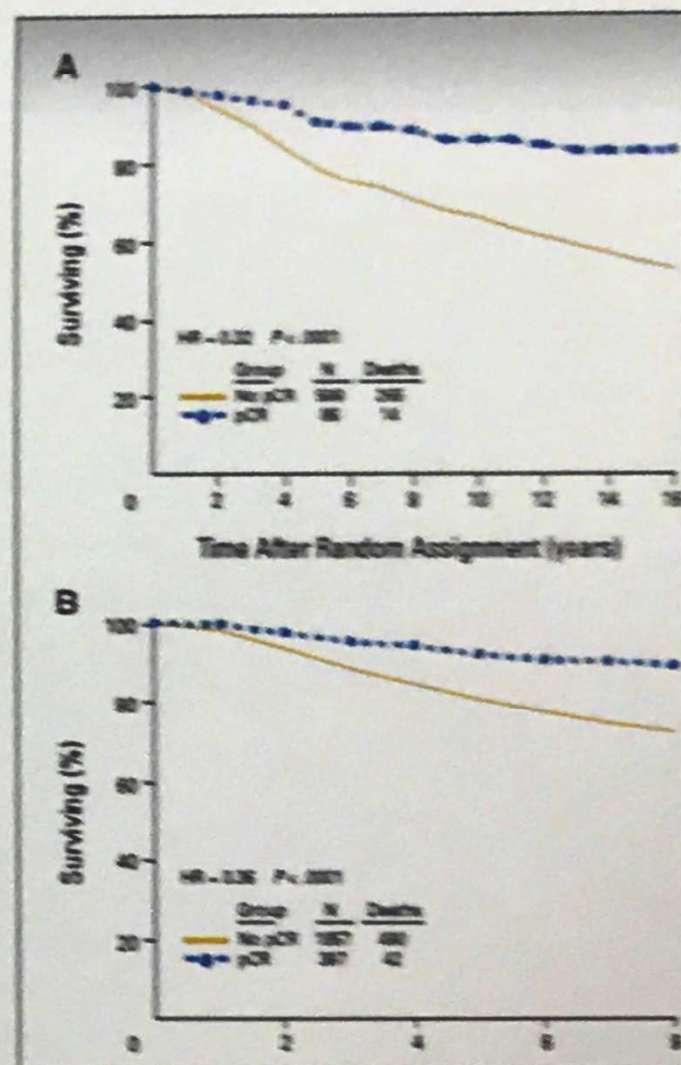
**B27:** 2x2 design with Taxotere added

All women received TAM (75% ER+)

No PMRT or regional nodal RT

pCR: 26% adding Taxol

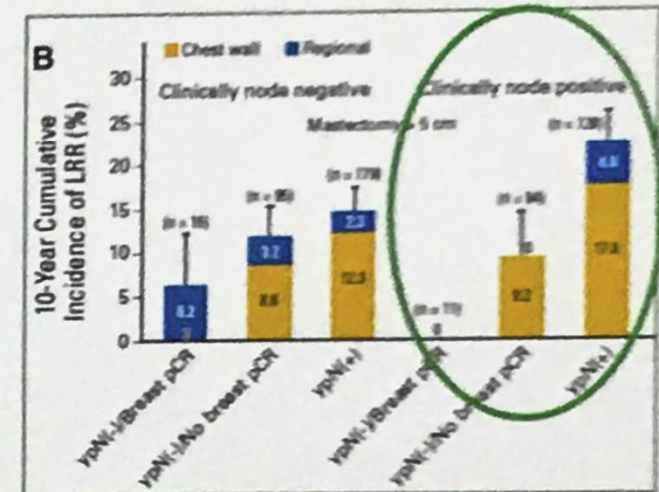
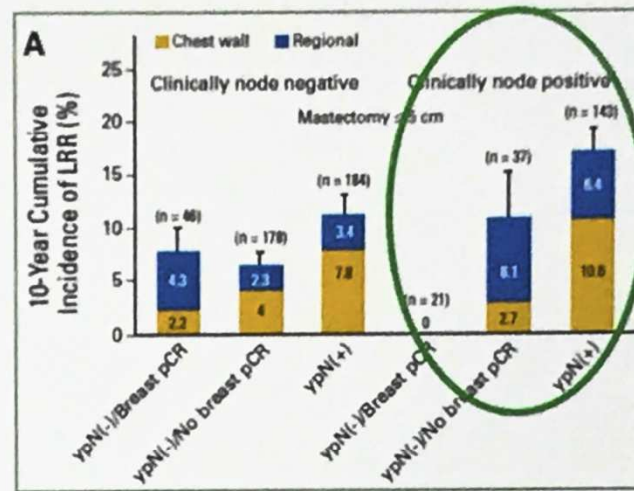
- Predominant EII population
- pCR impact in OS



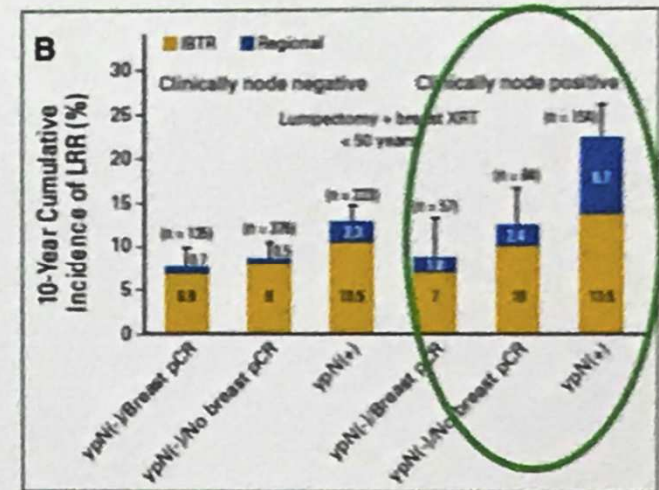
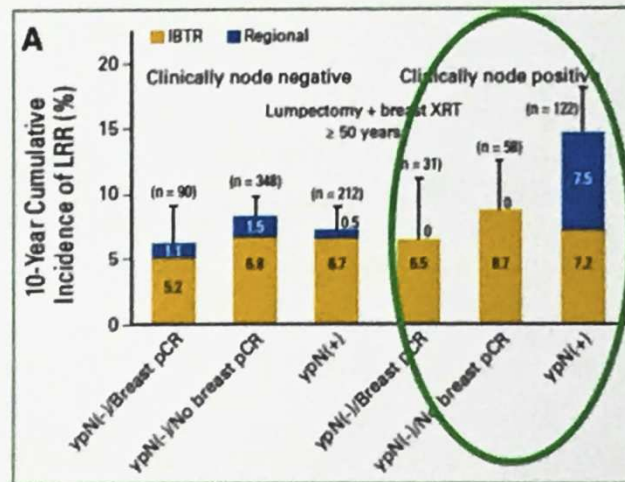


# NSABP B18 / B27:

Mastectomy  
LRR: 12.3%



Lumpectomy + Breast RT  
LRR: 10.3%

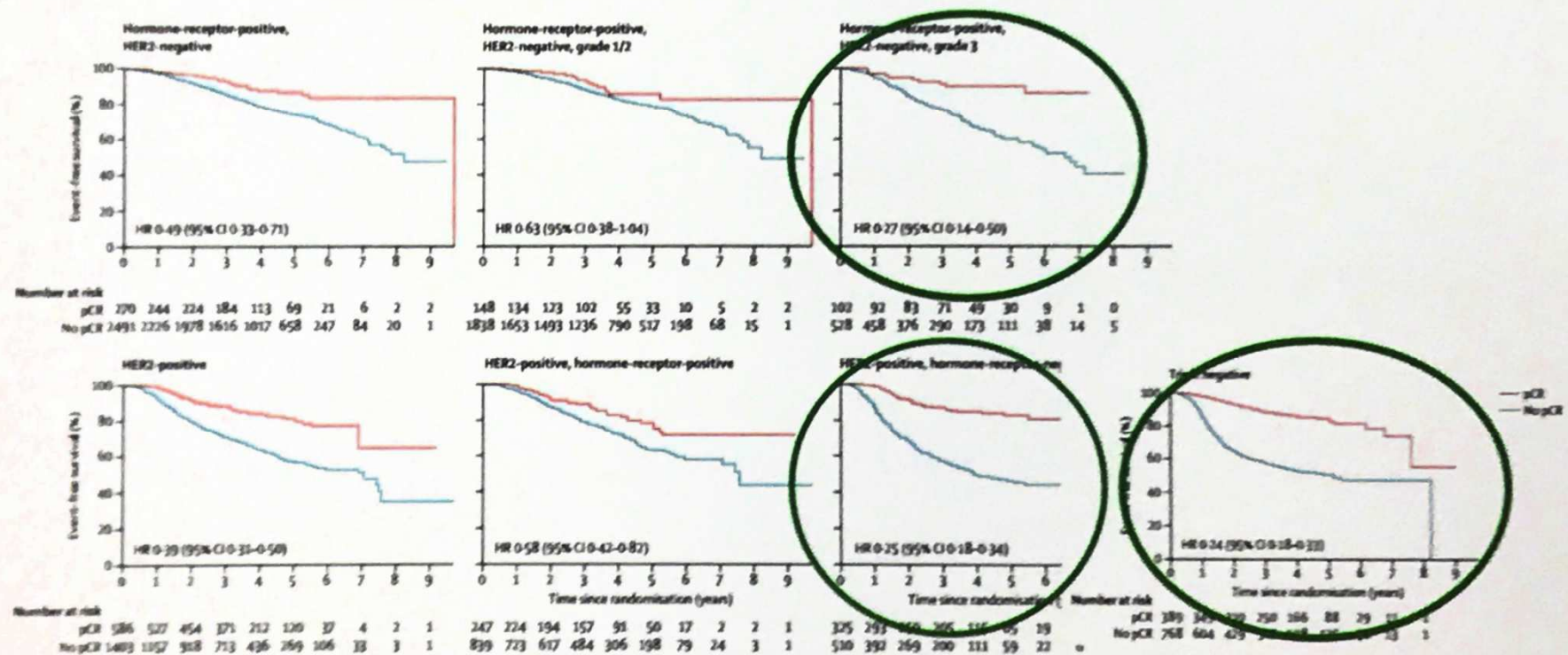


- Higher rates of LRR with tumor >5cm or ypN+
- Low LRR in pCR with negative nodes irrespective of tumor size or clinical nodal status



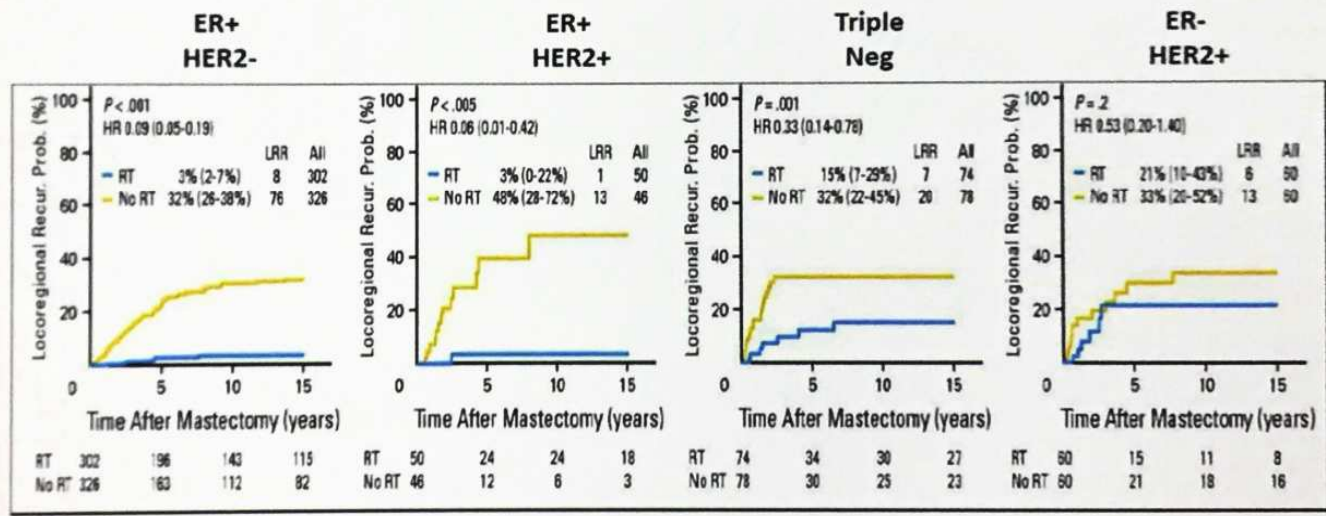
### 3- Can **biological subtypes** help to decided local therapy options?

- Intrinsic subtyping at diagnosis was independently associated with **pCR**
- Patients who obtain **pCR** have **improved survival** with the prognostic value greatest in aggressive breast cancer subtypes: TN, HER2+/RH-, HER2-/RH+/G3



# Intrinsic subtypes and benefit from PMRT- Results from Danish 82b and 82c

- LRR +/- RT by Subtype approximation. Pre-Trastuzumab



Kyndi et al. JCO 2008

- Association between pCR and Survival by tumor subtype:

Long-term Follow-up From ACOSOG Z1071 (Alliance) Impact of pCR on BCSS and OS by tumor subtype in node-positive breast cancer patients treated with NAC

	pCR			Residual Disease		
	HR (hormone receptor) Pos/ HER2 Neg	HER2 Positive	Triple Negative	HR (hormone receptor) Pos/ HER2 Neg	HER2 Positive	Triple Negative
BCSS						
HR (hazard ratio)	1.00	—	—	1.00	0.11	2.35
95% CI	Ref	—	—	Ref	0.03–0.44	1.50–3.68
5 yrs	100%	96.0%	89.8%	78.3%	95.8%	65.8%
P value		0.018			<0.0001	
OS						
HR (hazard ratio)	1.00	1.14	4.02	1.00	0.32	2.18
95% CI	Ref	0.12–10.96	0.50–32.71	Ref	0.15–0.71	1.42–3.34
5 yrs	97.1%	94.6%	87.8%	74.4%	92.8%	64.4%
P value		0.086			<0.0001	

Boughey J et al. Annals of Surgery 2017



## Suggested approaches cN+/NAC: Radiotherapy management

Clinical Stage at presentation	Pathological stage after NAC	Treatment recommendations
Stage III	Any	PMRT/ nodal RT BCT
Stage II	<ul style="list-style-type: none"> <li>-Residual disease in lymph nodes</li> <li>-Non residual disease in lymph nodes:                             <ul style="list-style-type: none"> <li>- Low risk LRR(&lt;10%): &gt;40 y/o, ER+, Non LVI, pCR in breast and nodes</li> <li>- Risk of LRR &gt;10%</li> </ul> </li> </ul>	<p>PMRT/nodal RT BCT (Alliance11202 is testing whether axillary RT is not inferior to ALND)</p> <p>(RTOG1304 is testing de-escalation of RT as prognostic is much improved)</p> <p>No PMRT/non nodal RT BCT</p> <p>PMRT/nodal RT BCT</p>



## The future: Less aggressive **breast** therapy in pCR

- **pCR** rates are greatly improving, especially in **HER2-positive** subgroup. Are breast surgery and radiotherapy now redundant procedures?
  - Safe omission of surgery and radiotherapy are dependent on the ability to accurately estimate pCR imaging sensitivity and specificity and successful evaluation of percutaneous biopsy
  - Currently studies are focused on evaluating the omission of breast surgery in patients with excellent response to NAC
  - As non- surgery strategies become more common, there will be radiotherapy questions to resolve:
    - Optimal fractionation? Boost? Is radiotherapy needed?

# Conclusions

- Modification of locoregional therapy has emerged as a clear benefit of preoperative systemic therapy
- Preoperative systemic therapy can potentially select a low risk group of patients who may do well with less aggressive locoregional therapy
- Tumor biology had a significant impact on LRR, also in NAC
- Additional prospective data are needed to guide adjuvant radiotherapy decisions



# Gain in survival and higher pCR rates

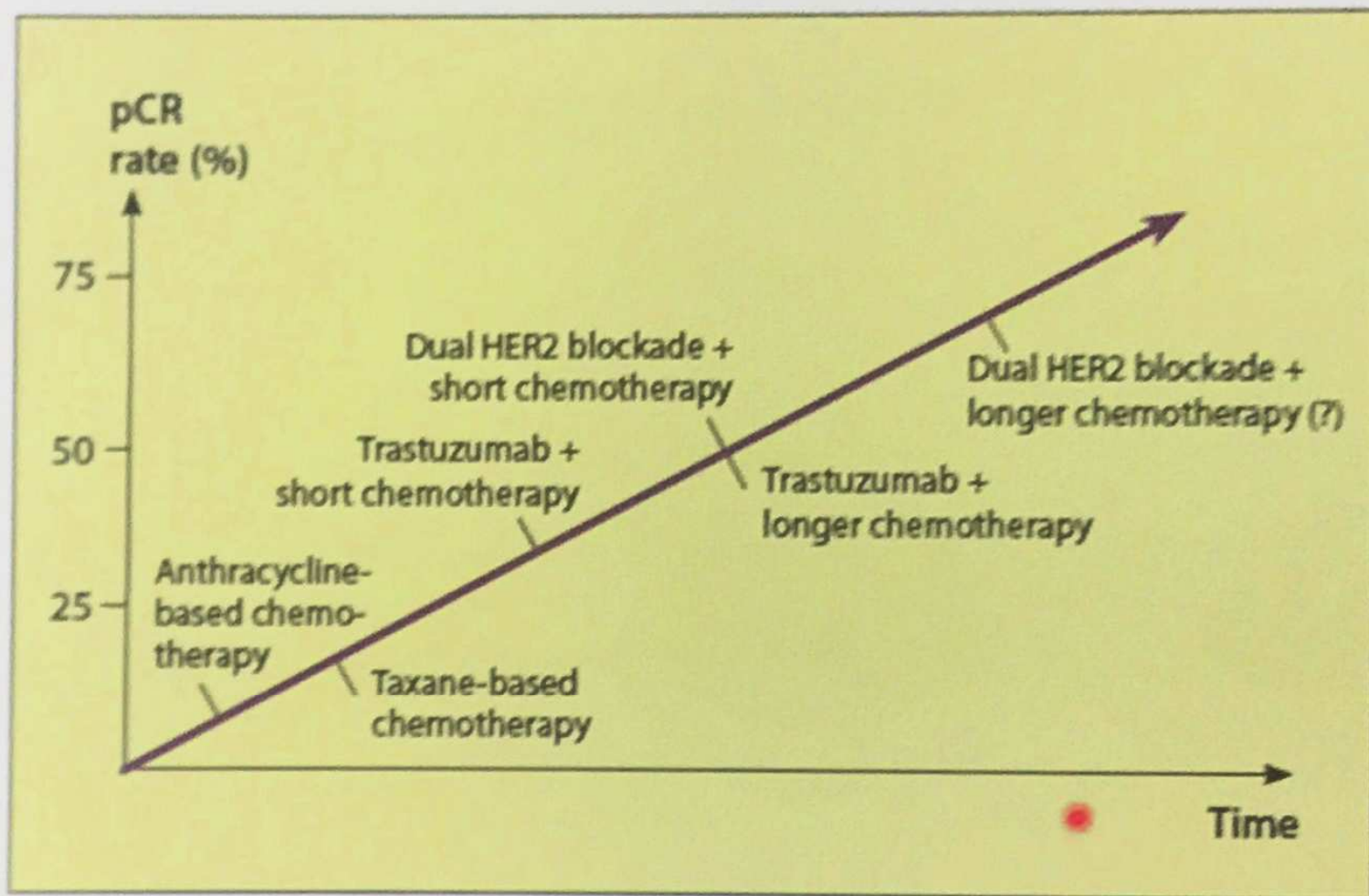
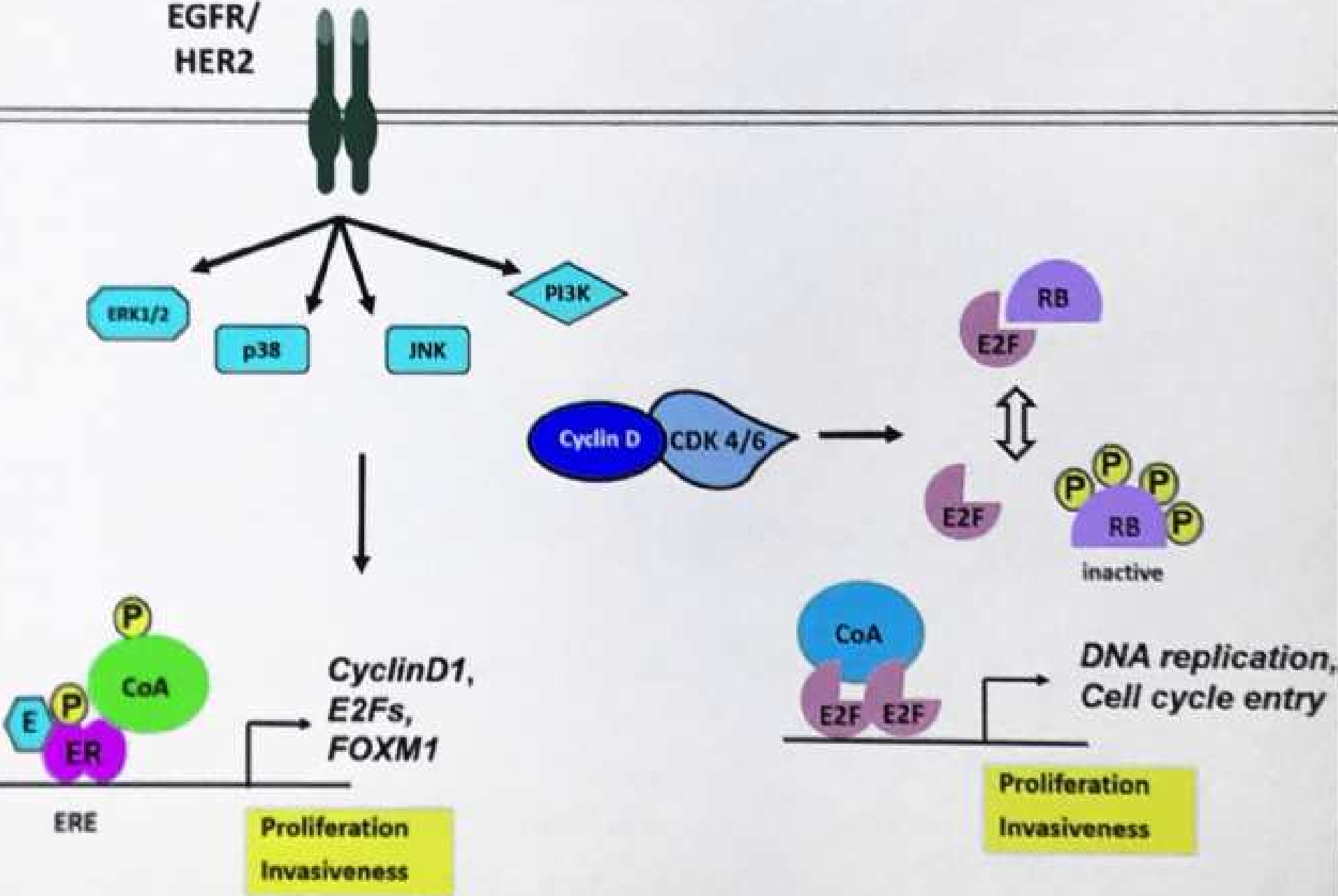


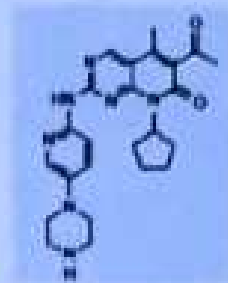
Figure 1: Incremental Improvement in Pathologic Complete Remission (pCR) Rates by Optimizing Systemic Neoadjuvant Treatment of HER2-Positive Breast Cancer.



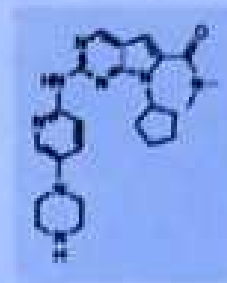
# Biology of HER2+ and ER+ BC



# Modern CDK 4/6 inhibitors



**Palbociclib (Pfizer)  
(PD0332991, Ibrance)**



**Ribociclib (Novartis)  
(LEE011)**

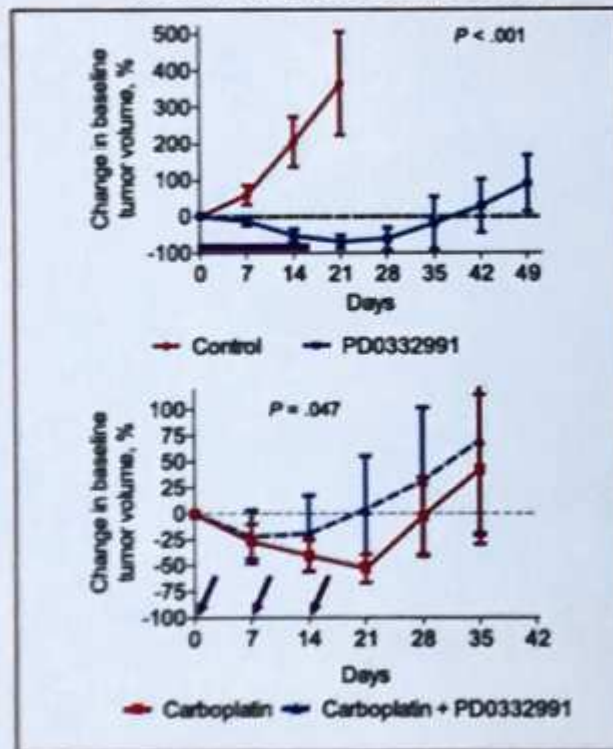


**Abemaciclib (Eli Lilly)  
(LY2835219)**

Drug	Palbociclib (Pfizer) (PD0332991, Ibrance)	Ribociclib (Novartis) (LEE011)	Abemaciclib (Eli Lilly) (LY2835219)
<b>IC<sub>50</sub> (in vitro kinase assay, recombinant proteins)</b>	CDK4 (D1): 11 nmol/L CDK4 (D3): 9 nmol/L CDK6 (D2): 15 nmol/L CDK1: >10 μmol/L CDK2: >10 μmol/L (66, 67)	CDK4: 10 nmol/L CDK6: 39 nmol/L CDK1: >100 μmol/L CDK2: >50 μmol/L (1, 89)	CDK4 (D1): 0.6–2 nmol/L CDK6 (D1): 2.4–5 nmol/L CDK9: 57 nmol/L CDK1: >1 μmol/L CDK2: >500 nmol/L (1, 88)
<b>PK</b>	T <sub>max</sub> 4.2–5.5 hr t <sub>1/2</sub> 25.9–26.7 hr (69, 70)	T <sub>max</sub> 4 hr t <sub>1/2</sub> 24–36 hr (90, 91)	T <sub>max</sub> 4–6 h t <sub>1/2</sub> 17–38 h (crosses blood:brain barrier; refs. 92, 93)
<b>Dosing</b>	125 mg daily (3 weeks, 1-week drug holiday) or 200 mg daily (2 weeks, 1-week drug holiday; refs. 69, 70)	600 mg daily (3 weeks, 1-week drug holiday; ref. 90)	200 mg twice daily (continuous dosing; ref. 92)
<b>Major dose-limiting toxicities</b>	Neutropenia, thrombocytopenia	Neutropenia, thrombocytopenia	Fatigue
<b>Other reported adverse events</b>	Anemia, nausea, anorexia, fatigue, diarrhea (69, 70)	Mucositis Prolonged EKG QTc interval Elevated creatinine Nausea (90)	Diarrhea Neutropenia (92)

## Interaction of CDK 4/6 inhibition with chemotherapy

### MMTV-neu (Rb competent)



**CDK4/6i is an effective treatment in a HER2+transgenic model of BC**

**but it REDUCES the efficacy of concomitant chemotherapy**

Roberts P.J. et al. *JNCI* 2012



# NAPHER2 neoadjuvant trial

Pts with early and locally advanced centrally confirmed HER2+ AND ER+ (>10%) BC

N=30

Trastuzumab, 8 mg/kg on first dose, 6 mg/kg thereafter q 3 weeks X 6  
 Pertuzumab, 840 mg on first dose, 420 mg thereafter q 3weeks X 6  
 Palbociclib 125 mg po q.d. x 21 q. 4 weeks X 5  
 Fulvestrant im at 500 mg q 4 weeks X 5 (+500 mg two weeks after 1st dose)

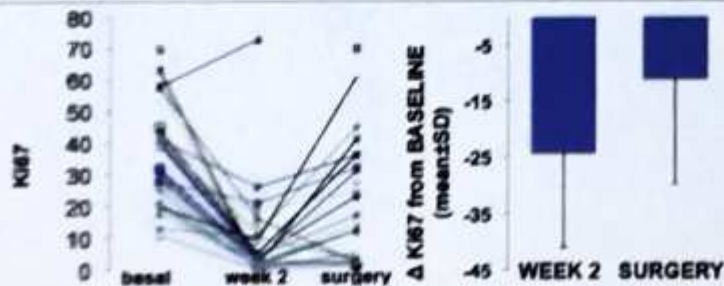
**Primary endpoints:**

- Ki67 changes from baseline, at 2 weeks and at surgery
- Changes in apoptosis from baseline and at surgery

**Secondary endpoints:**

- Rate of pCR (ypT0-ypTis ypN0) at surgery
- Clinical objective response rate
- Tolerability

Ki67 Changes			
	Baseline (n=27)	Week 2 (n=28)	Surgery (n=22)
*Geometric mean (SD)	31.9 (15.7)	4.3 (15.0)	12.1 (20.0)
Mean change 95% CI		-24.0 (-31.0; -17.1)	-10.9 (-19.3; -2.6)
Paired T-test P-value		-7.11 < 0.0001	-2.72 0.013



Pathological and Clinical Response - ITT population (30)	
pCR (no invasive cells in breast and axilla)	8 (27%)
pCR in breast only	9 (30%)
<b>Overall clinical response</b>	<b>29 (97%)</b>
• complete clinical response	15 (50%)
• partial response	14 (47%)
• stable disease	3 (3%)

L. Gianni et al, Lancet Oncology 2018

- **Palbociclib in combination with ET and anti-HER2 therapy shows signals of activity in ER+ and HER2+ BC warranting further studies**
- **The standard of care in this setting is mainly chemotherapy + anti-HER2 therapy**

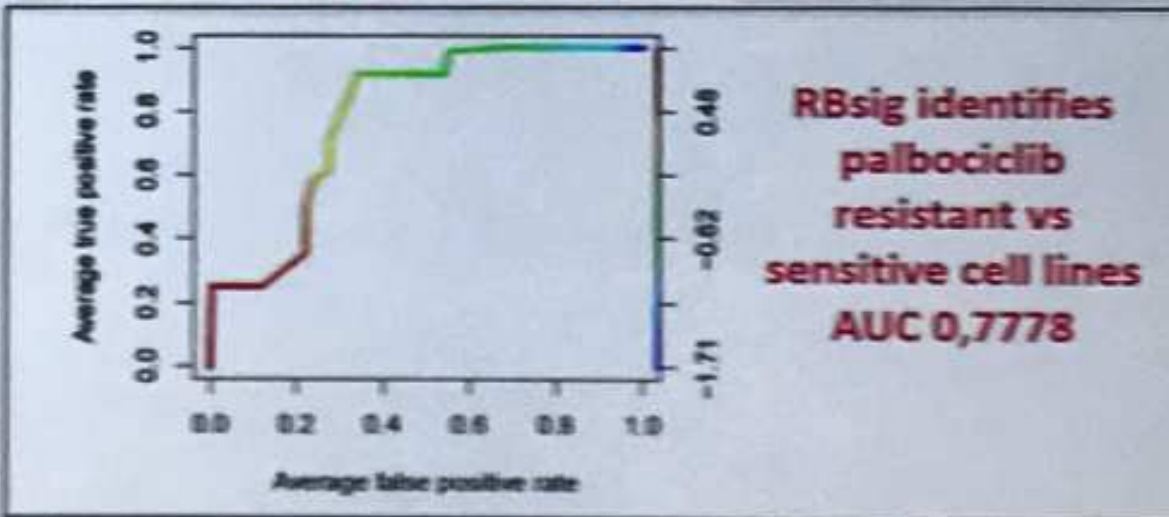
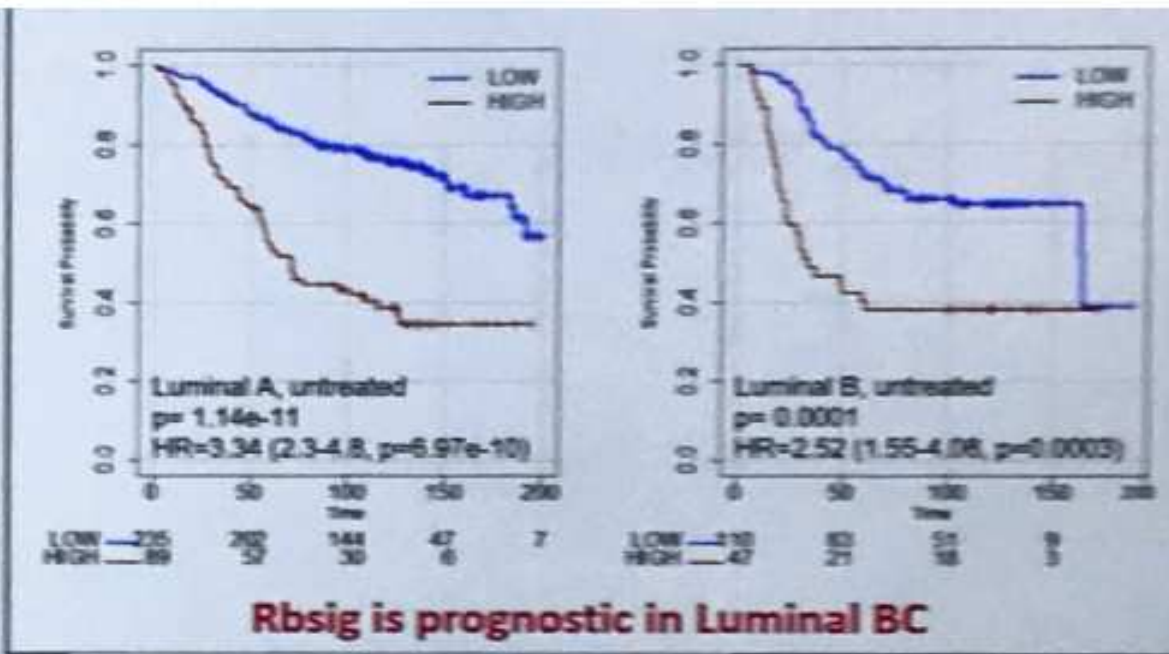
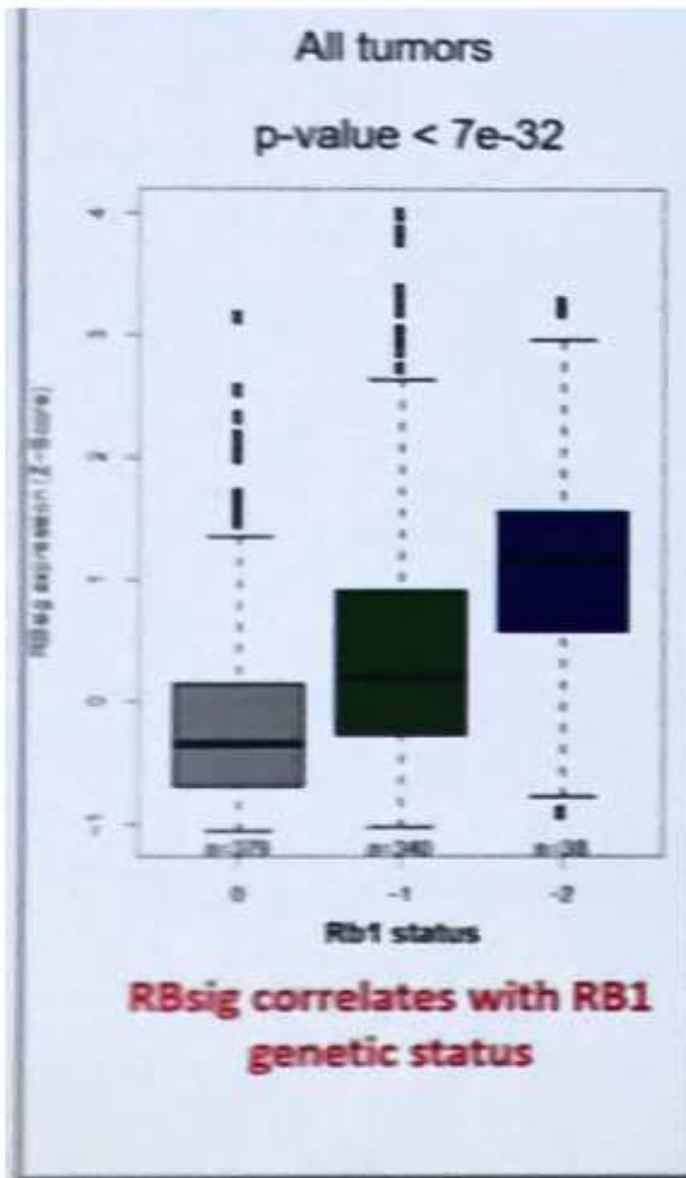
### **Questions:**

**Can CDK4/6 inhibitor substitute for chemotherapy in ER+ and HER2+ BC?**

**Will it be active in all patients?**

**We do not know!**

**Biomarkers would help building new trials in this setting**



Malorni L. et al; Oncotarget



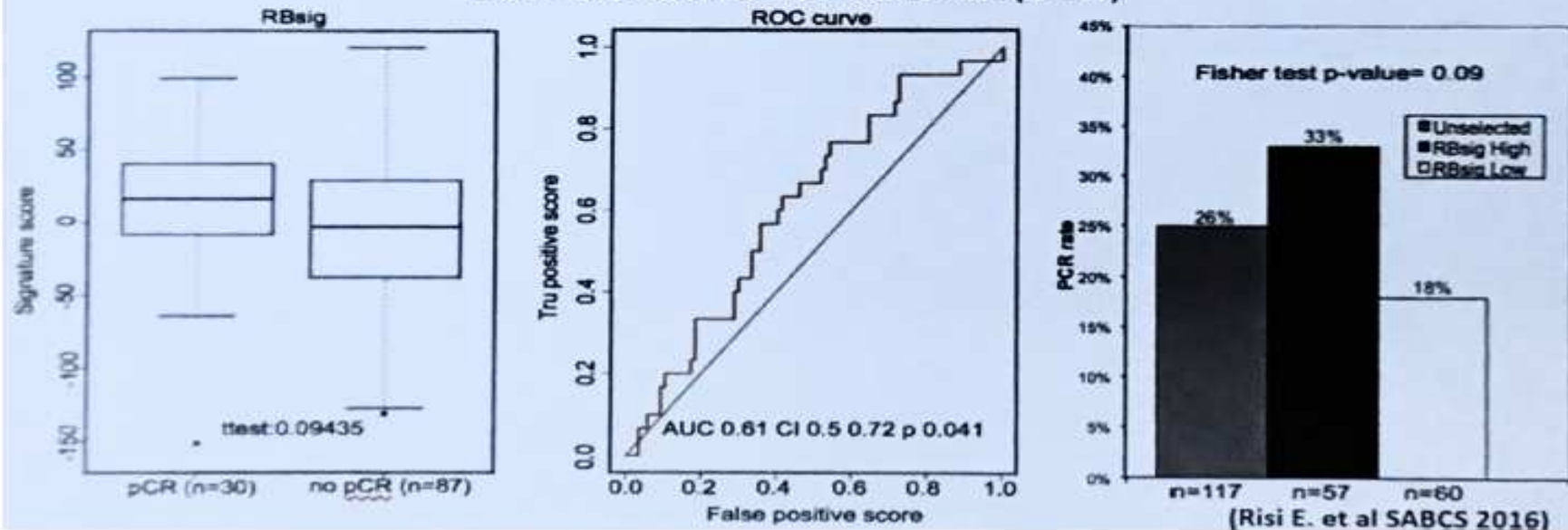
## RBsig in ER+/HER2+ BC

- Meta-dataset of 10 neoadjuvant trials of CHT +/- anti-HER2 therapy with GEP data available on pre-treatment biopsies (ER+ /HER2+ pts N= 211)
- RBsig was computed and the correlation with pCR was explored

In ER+/HER2+ pts treated with CHT+ anti-HER2:

- Pre-treatment RBsig was lower in patients not achieving pCR
- RBsig LOW\* tumors had lower pCR rates compared to RBsig HIGH\*
- The RBsig was dichotomized as High/Low in correspondence to the 50th percentile

ER+/HER2+ dataset - CT+ anti-HER2 (n=117)



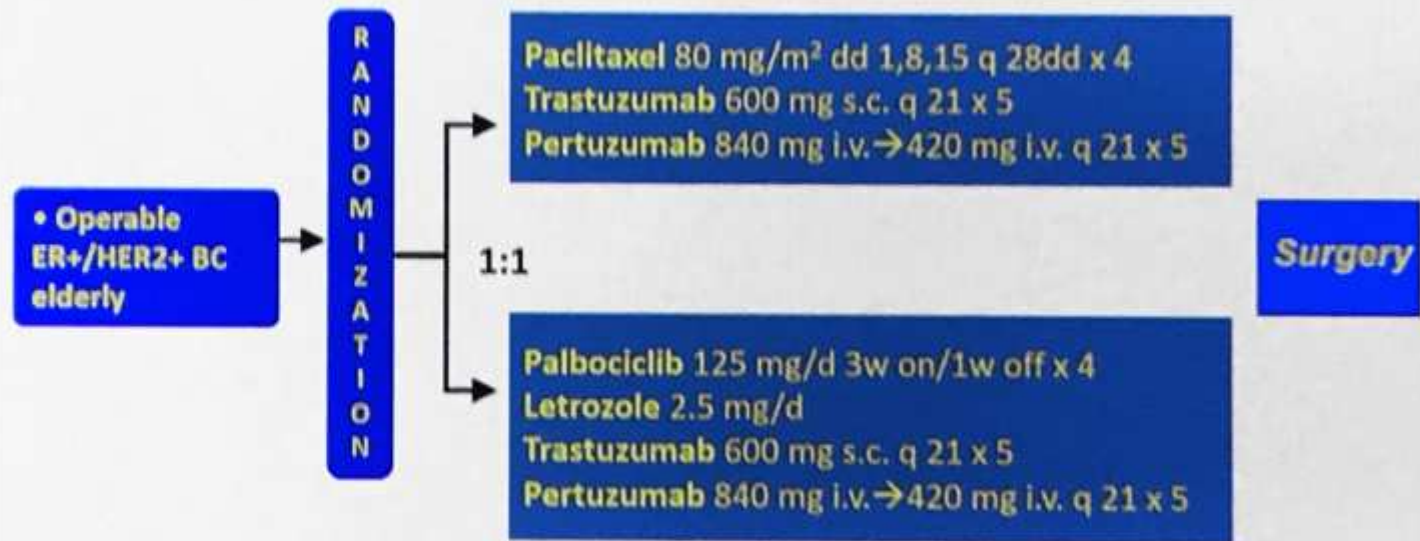
## Trial hypothesis

**RBsig may help selecting pts with ER+/HER2+ BC who could be spared CHT and treated with ET+ anti-HER2 + palbociclib**

- Pts with **RBsig LOW**  
**ET+ anti-HER2+ palbociclib** will be **more active** than CHT+ anti-HER2
- Pts with **RBsig HIGH**  
**CHT+ anti-HER2** will be **more active** than **ET+ anti-HER2+ palbociclib**

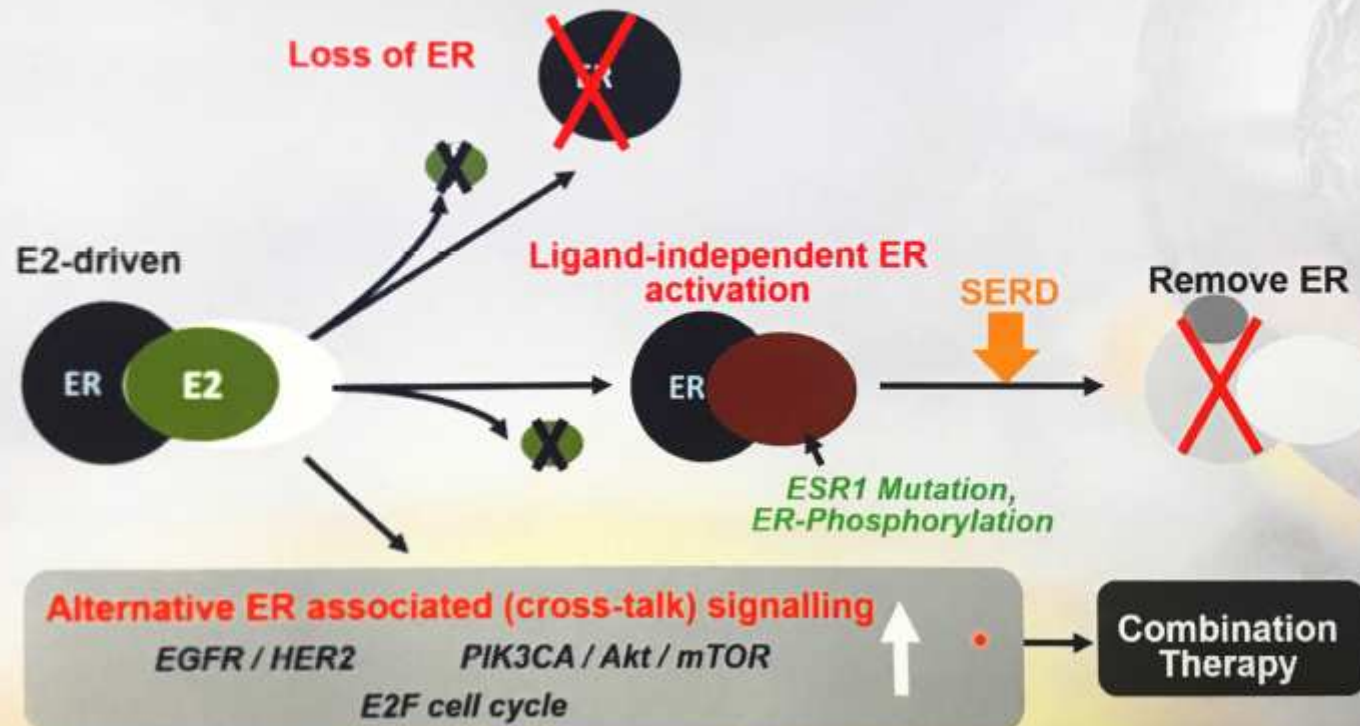


# TOUCH: trial design





## Mechanisms of Endocrine Resistance & Therapeutic Strategies



Slide adapted courtesy Peter Schmidt

## Introduction

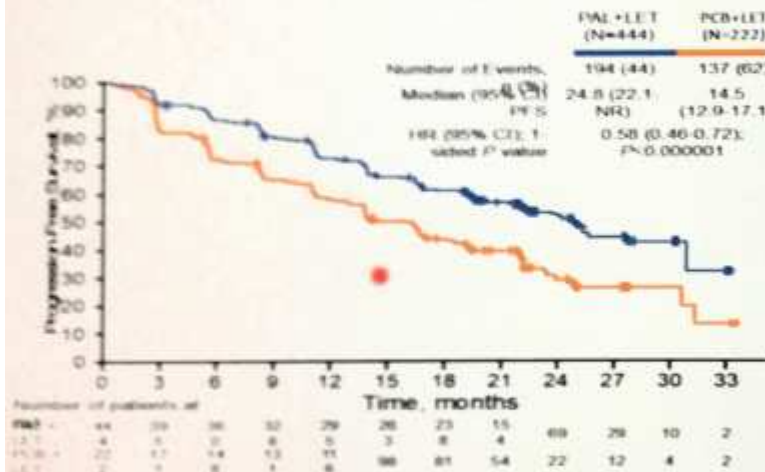
- AI resistance is very diverse
  - ESR1 mut ~ 50% of pts
  - KRAS mut ~ 15-20%
  - FGFR mut ~ 5-10%
  - NF1 mut ~ 5%
- Moreover mutations are often sub-clonal
- How to address this double issue ?
  - Target what these cells have in common

### Cyclin D1-CDK4/6

(the growth of ER+ breast cancer is dependent on Cyclin D1-CDK4/6)

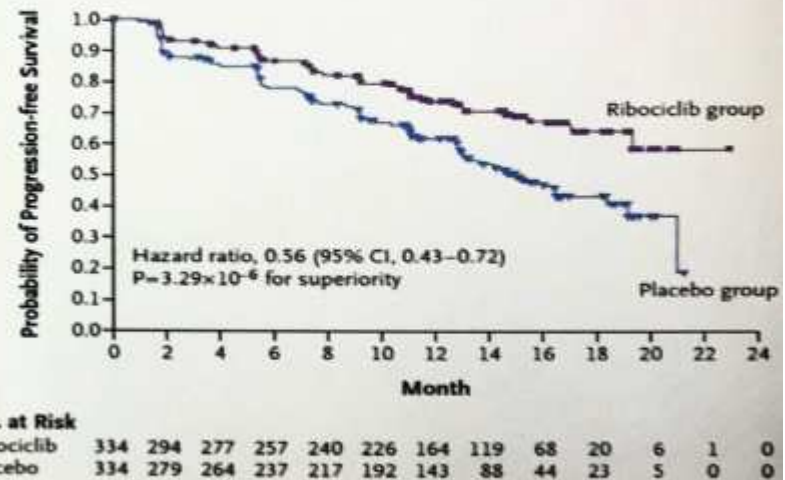
# First line AI sensitive trials with CDK4/6 inhibitors

## PALOMA2



Finn *et al* NEJM 2016

## MONALEESA2

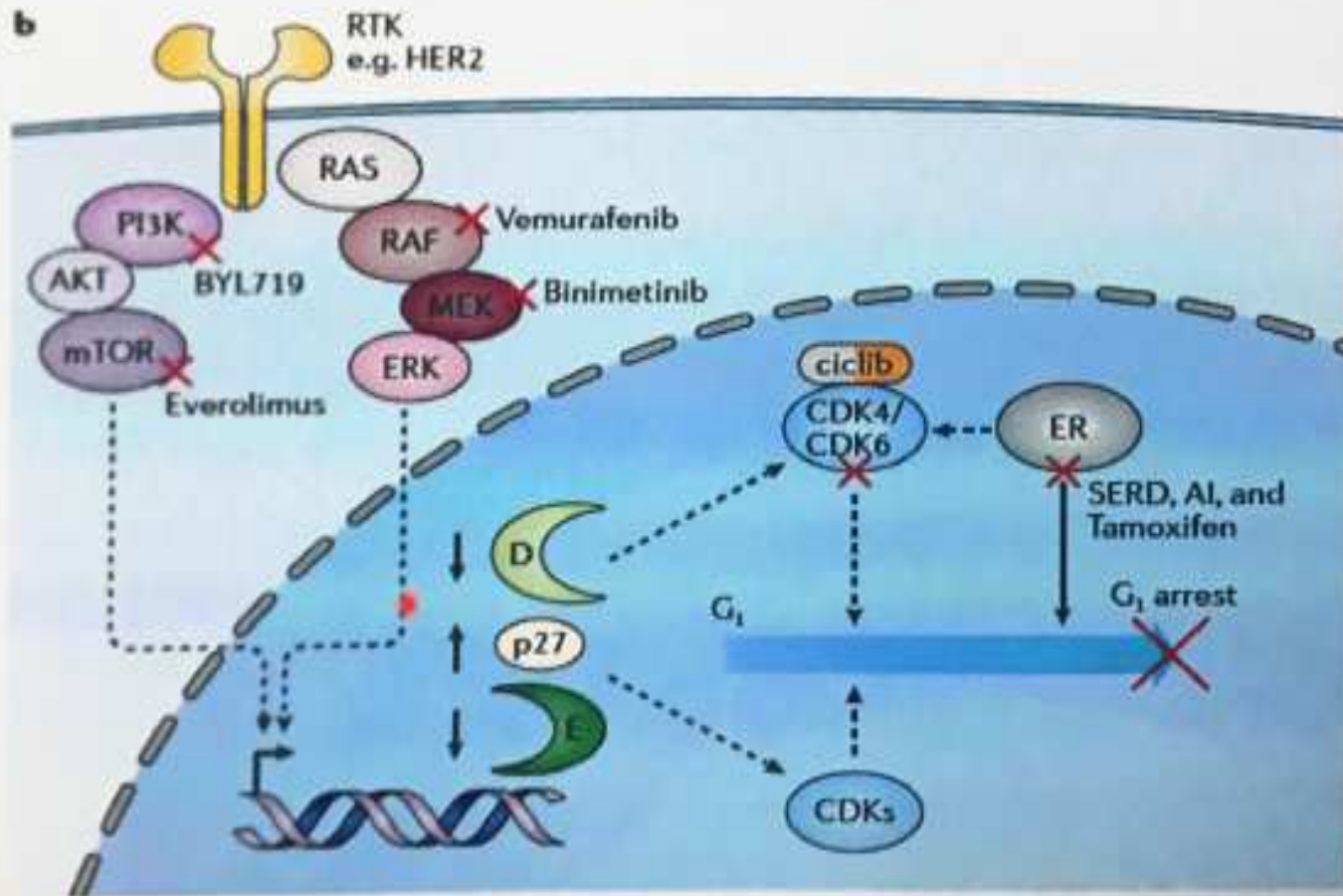


Hortobagyi *et al* NEJM 2016



# How to block resistance ?

Combination therapy augments CDK4/6 inhibition and prevents acquired resistance

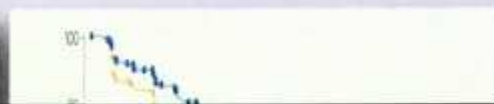


# PFS Benefit in 1<sup>st</sup> Line AI + CDK 4/6 inhibitor Phase III Trials

**PALOMA-2**



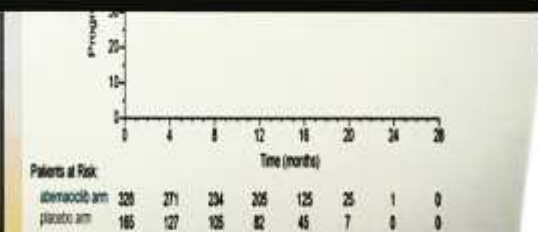
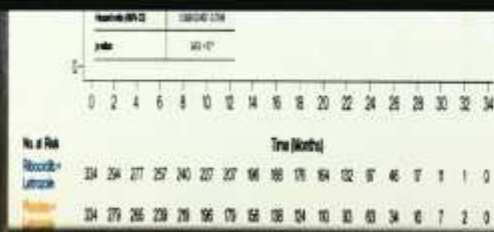
**MONALEESA-2**



**MONARCH-3**



CDK4/6 inhib. improved PFS to > 26 months



Finn R, et al. *NEJM*. 2016;375:1925-1936

Hortobagyi G, et al. *NEJM* 2016; 375:1738-1748

Goetz MP, et al. *J Clin Oncol* 2017;35:3638-

# How effective are subsequent therapies after CDK 4/6 inhibitors ?

## PALOMA-3: Median duration on 1<sup>st</sup> treatment after progression

Most common treatments were everolimus, capecitabine, paclitaxel and exemestane (with or without everolimus)



Determined using the Kaplan-Meier method

CI, confidence interval; ET, endocrine therapy; FUL, fulvestrant; PAL, palbociclib; PCB, placebo

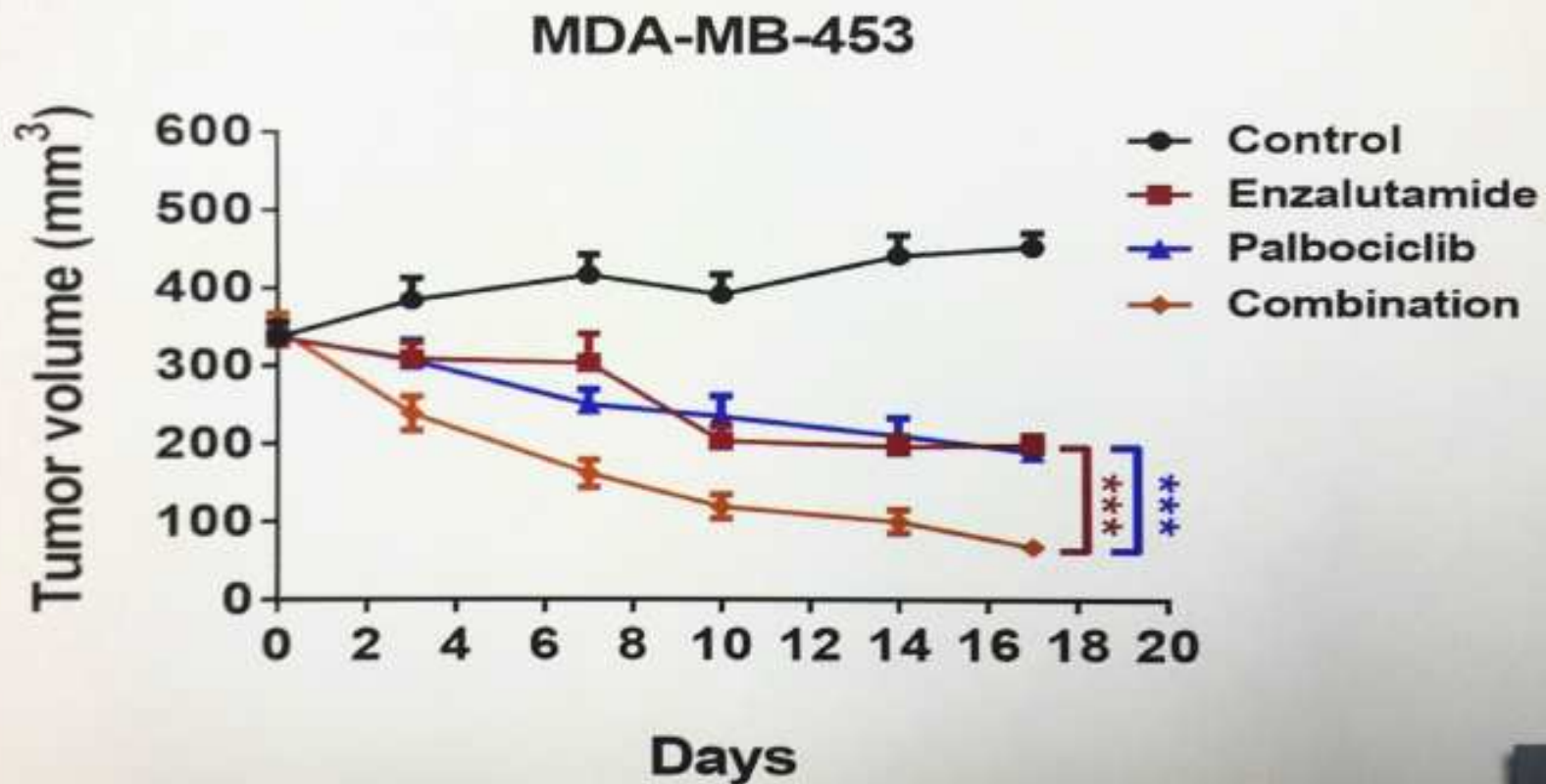
Turner NC, et al. SABCS 2016 (Abstract P4-22-06)



Novel combination of AR inhibitor and CDK4/6 inh. and MEK inh. In AR+ER and PR- BC preclinical models (Lim et al MDA Houston)

- 20-30% of TNBC are AR+ (molec apocrine, LAR)
- 3 clinical trials with a 20% CBR (bicalutamide, enza., abiraterone acetate)
- Cases with long responses to anti-androgen treatments

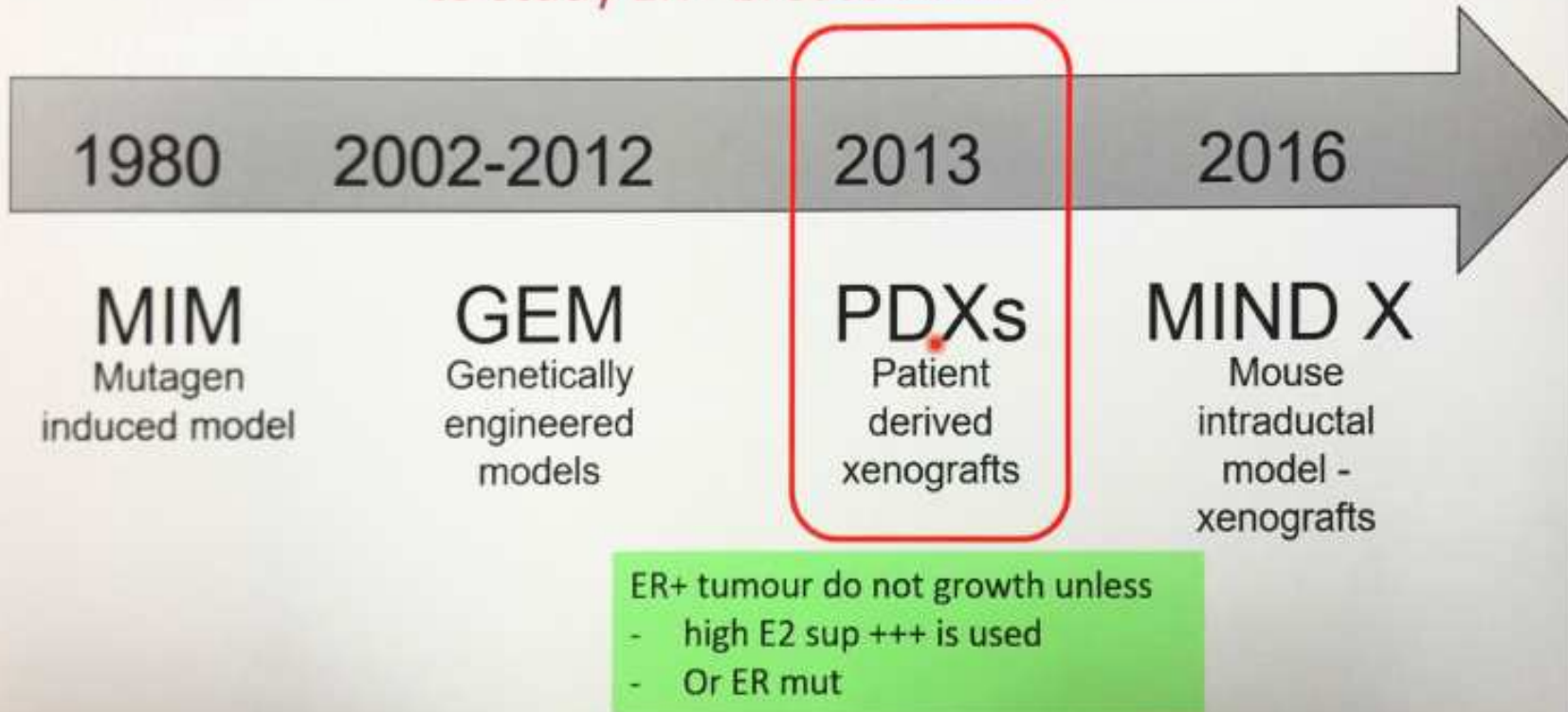
# In vivo tumor growth inhibition



Novel combination of AR inhibitor and CDK4/6 inhibitor in non-HR positive (Triple Negative) breast cancers

## Introduction

There is a lack of physiologically relevant animal models to study ER+ breast cancers

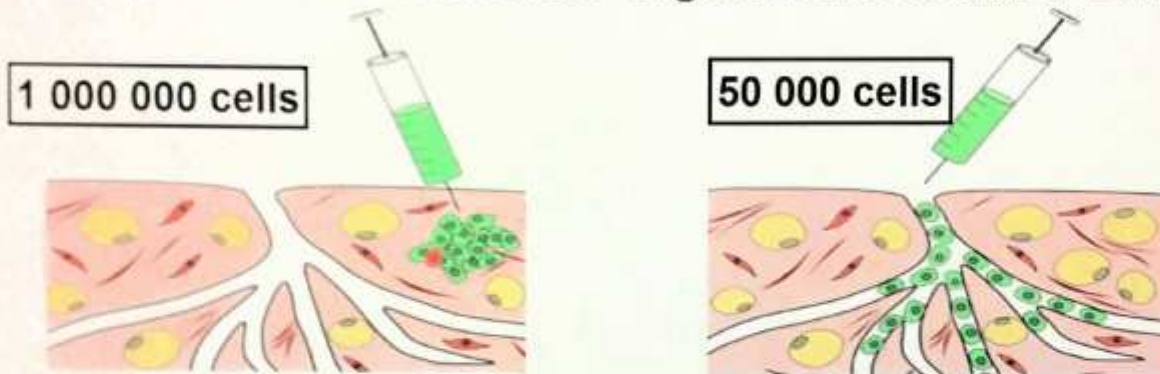


Adapted from Haricharan, Lei, Ellis Cancer Cell 2016

Sflomos G, ... Briskin C Cancer Cell 2016



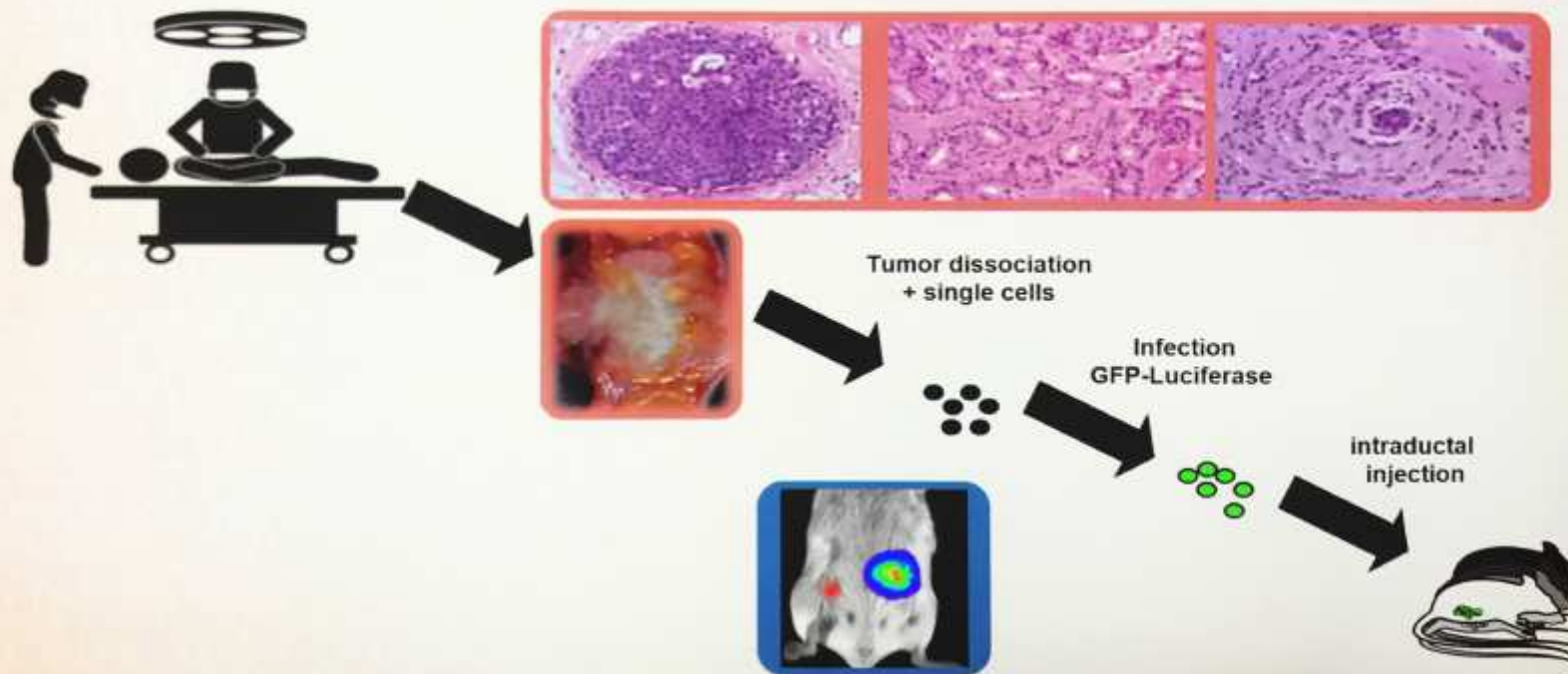
## Fat pad versus Intraductal engraftment of tumor cells



1. Mind Xenografts become invasive
2. ... Metastasise
3. Can be used to test treatments

*Sflomos G, ... Brisken C Cancer Cell 2016*

## Towards Personalized Medicine



***Grazie***

***HTTPS://WWW.ECCO-  
ORG.EU/EVENTS/ebc  
c11/WEBCAST***

***390-82-295***